

AD-A110 087 MASSACHUSETTS INST OF TECH CAMBRIDGE DEPT OF CHEMISTRY F/6 20/12  
CHEMICALLY DERIVATIZED SEMICONDUCTOR PHOTOELECTRODES.(U)

F/6 20/12

N00014-75-C-0880

NL-

TR-32

1.  $\frac{1}{2} \times \frac{1}{2} = \frac{1}{4}$

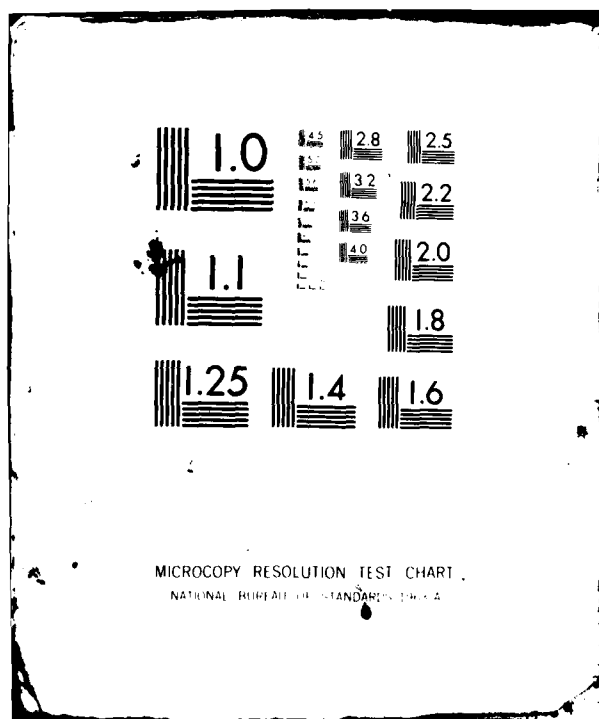
■

END

DATE \_\_\_\_\_

TABLE 2

W. O.



AD A110087

UNCLASSIFIED  
SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

| REPORT DOCUMENTATION PAGE  |                                  | READ INSTRUCTIONS<br>BEFORE COMPLETING FORM                               |
|--|----------------------------------|---|
| 1. REPORT NUMBER<br>ONR Tr-32  | 2. GOVT ACCESSION NO.<br>AD-A110 | 3. RECIPIENT'S CATALOG NUMBER<br>087                                      |
| 4. TITLE (and Subtitle)<br>"Chemically Derivatized Semiconductor Photoelectrodes"  |                                  | 5. TYPE OF REPORT & PERIOD COVERED<br>Interim Technical Report            |
| 7. AUTHOR(s)<br>Mark S. Wrighton   |                                  | 6. PERFORMING ORG. REPORT NUMBER  |
| 9. PERFORMING ORGANIZATION NAME AND ADDRESS<br>Department of Chemistry<br>Massachusetts Institute of Technology<br>Cambridge, Massachusetts 02139  |                                  | 8. CONTRACT OR GRANT NUMBER(s)<br>N00014-75-C-0880                        |
| 11. CONTROLLING OFFICE NAME AND ADDRESS<br>Office of Naval Research<br>Department of the Navy<br>Arlington, Virginia 22217   |                                  | 10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS<br>NR 051-579 |
| 14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office)  |                                  | 12. REPORT DATE<br>January 4, 1982  |
|  |                                  | 13. NUMBER OF PAGES<br>44   |
|  |                                  | 15. SECURITY CLASS. (of this report)<br>UNCLASSIFIED                      |
|  |                                  | 15a. DECLASSIFICATION/DOWNGRADING SCHEDULE                                |
| 16. DISTRIBUTION STATEMENT (of this Report)<br><br>Distribution unlimited; approved for public release; reproduction is permitted for any purpose of the United States Government  |                                  |   |
| 17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)<br><br>Distribution of this document is unlimited   |                                  |   |
| 18. SUPPLEMENTARY NOTES<br><br>Prepared for publication in ACS Symposium Series volume, "Chemical Modification of Surfaces"  |                                  |   |
| 19. KEY WORDS (Continue on reverse side if necessary and identify by block number)<br>photoelectrochemistry, derivatization, semiconductors, photoelectrodes   |                                  |   |
| 20. ABSTRACT (Continue on reverse side if necessary and identify by block number)<br>Highlights of research results from the chemical derivatization of n-type semiconductors with (I, I'-ferrocenediyl)dimethylsilane, (I), and its dichloro analogue, (II) and from the derivatization of p-type semiconductors with {N,N'-bis[3-trimethoxysilyl]propyl}-4,4'-bipyridinium dibromide, (III) are presented. Research Shows that molecular derivatization with (II) can be used to suppress photoanodic corrosion of n-type Si; derivatization of p-type Si with (III) can be used to improve photoreduction kinetics for horseheart ferricytochrome c; (continued on reverse) |                                  |   |

DD FORM 1473  
1 JAN 73EDITION OF 1 NOV 68 IS OBSOLETE  
S/N 0102-014-6601

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

220 007

BTD FILE COPY

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

derivatization of p-type Si with (III) followed by incorporation of Pt(0) improves photoelectrochemical H<sub>2</sub> production efficiency. Strongly interacting reagents can alter semiconductor/electrolyte interface energetics and surface state distributions as illustrated by n-type HS<sub>2</sub>/I<sup>-</sup> interactions and by differing etch procedures for n-type CdTe.

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)



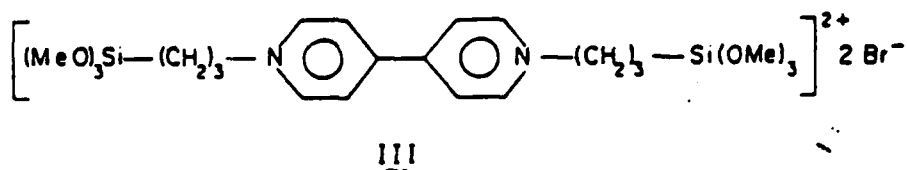
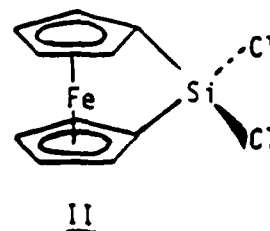
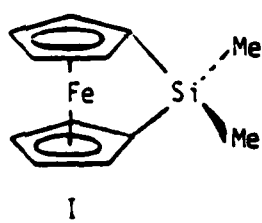
## CHEMICALLY DERIVATIZED SEMICONDUCTOR PHOTOELECTRODES

MARK S. WRIGHTON, DEPARTMENT OF CHEMISTRY, MASSACHUSETTS  
INSTITUTE OF TECHNOLOGY, CAMBRIDGE, MASSACHUSETTS 02139 U.S.A.

Highlights of research results from the chemical derivatization of n-type semiconductors with (1,1'-ferrocenediyl)dimethylsilane, I, and its dichloro analogue, II, and from the derivatization of p-type semiconductors with (N,N'-bis[3-trimethoxysilyl]propyl)-4,4'-bipyridinium dibromide, III are presented. Research shows that molecular derivatization with II can be used to suppress photoanodic corrosion of n-type Si; derivatization of p-type Si with III can be used to improve photoreduction kinetics for horseheart ferricytochrome c; derivatization of p-type Si with III followed by incorporation of Pt(0) improves photoelectrochemical H<sub>2</sub> production efficiency. Strongly interacting reagents can alter semiconductor/electrolyte interface energetics and surface state distributions as illustrated by n-type WS<sub>2</sub>/I<sup>-</sup> interactions and by differing etch procedures for n-type CdTe.

Derivatization of the surface of semiconductor photoelectrodes may be useful in suppressing corrosion reactions of the electrode (1-5), accelerating the rate of desired redox processes (6-8), measuring rate constants for reactions of surface-confined redox reagents (9,10), bringing about changes in the energetics of the semiconductor/electrolyte interface (11,12), and altering the distribution of surface states associated with the semiconductors. (13,14) Work in this laboratory has concerned the study of n-type semiconductor photoelectrode materials such as Si, Ge, and GaAs derivatized with reagents based on ferrocene such as those represented by I and II. Work with p-type semiconductor photoelectrode materials such as Si concerns the use of the N,N'-dialkyl-4,4'-bipyridinium-based derivatizing

reagent represented by III. The results from these studies do



suggest that surface derivatization may be useful in certain practical and fundamental applications. The highlights of the studies to date along with the limitations associated with chemical derivatization will be summarized in this article.

#### Suppression of Photoanodic Corrosion of N-Type Semiconductors

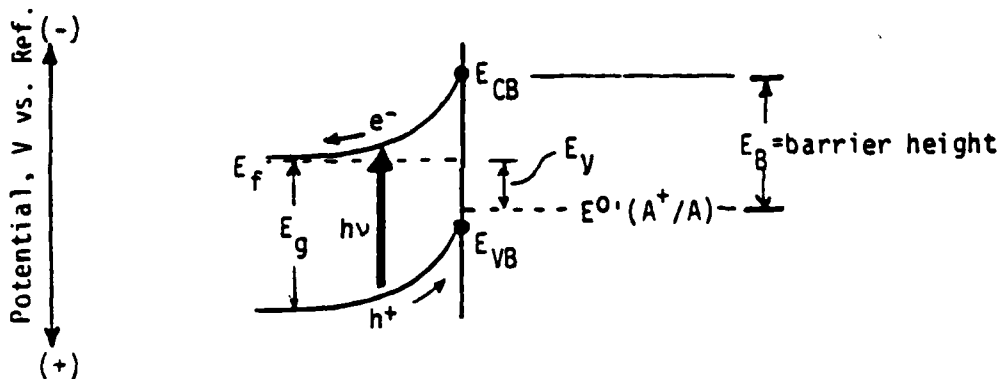
All n-type semiconductors are thermodynamically unstable when irradiated with supra band gap energy light in the presence of liquid electrolytes.(15-17) However, it is well known that durable n-type semiconductor/electrolyte/redox couple combinations do exist.(18,19) For example, it has been found that n-type Si, that can undergo surface photooxidation according to equation (1) can be protected from corrosion.(20) In equation



(1)  $\text{h}^+$  represents the photogenerated minority carrier (hole) that comes to the semiconductor surface under depletion conditions as illustrated in Scheme I. If the oxidative decomposition of Si proceeds too far the  $\text{SiO}_\text{x}$  thickness ultimately blocks the flow of current and useful photoelectrochemical effects cease. The oxidative decomposition can be suppressed if some redox active species A can compete for the  $\text{h}^+$ , equation (2). Since the photo-

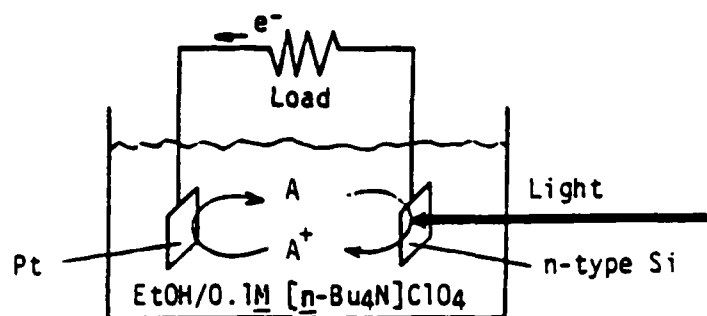


anodic decomposition of semiconductors is generally a multistep process it would seem that fast, one-electron reductants would be able to completely suppress photoanodic corrosion by neutralizing the  $h^+$  before the decomposition process can begin. A priori the species A should have fast heterogeneous electron transfer kinetics, be durable in both the A and  $A^+$  oxidation levels, be optically transparent, be present at high effective concentration, and have an  $E^0(A^+/A)$  that gives a good efficiency from the point of view of output photovoltage,  $E_y$ . Referring to Scheme I,  $E_y$  for the photoanode is the extent to which the



Scheme I. Interface energetics for an n-type semiconductor under illumination giving an uphill oxidation of A to  $A^+$  to the extent of  $E_y$ . Generally, the desired oxidation is only competitive with the anodic decomposition of the semiconductor. In the diagram  $E_f$  represents the electrode potential;  $E_{CB}$  the bottom of the conduction band; and  $E_{VB}$  the top of the valence band. At open-circuit  $E_y = E_B$ .

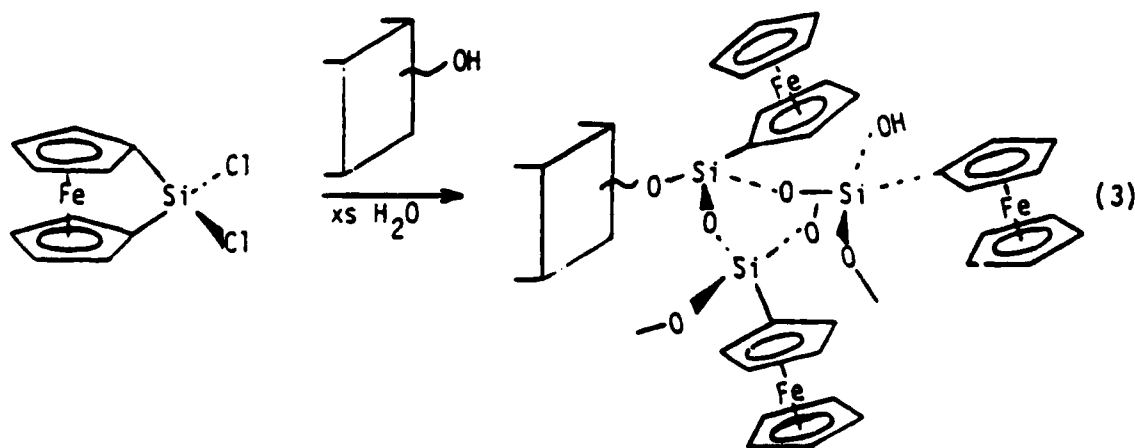
oxidation occurs at a potential more negative than the  $E_{redox}$  of the solution. Concerning n-type Si it was found that EtOH/0.1 M  $[n-Bu_4N]ClO_4$  solutions containing A = ferrocene and  $A^+$  = ferri-cenium result in a constant output of electrical energy from an illuminated photoelectrochemical device configured as in Scheme II.(20) The ferrocene captures the photogenerated  $h^+$  at a rate



**Scheme II.** Representation of an n-type Si photoanode-based cell for the conversion of light to electricity.

that precludes photoanodic corrosion of the n-type Si. The purpose in using EtOH solvent is to remove as much H<sub>2</sub>O as possible from the solvent to reduce the importance of the photo-oxidation process (1).

The experiments with the n-type Si/ferrocene in EtOH/0.1 M [n-Bu<sub>4</sub>N]ClO<sub>4</sub> prompted the initial work in this laboratory on the surface derivatization of photoelectrodes. The ferrocene-based reagent, II, was anchored to the surface according to equation (3). (1-3) The resulting polymer confronts the n-type Si



surface with a high effective concentration of the reducing agent A. The important fact with respect to suppressing electrode corrosion was the finding that n-type Si functionalized with II is capable of being used in aqueous electrolyte solution under conditions where the naked (non-derivatized) electrode suffers photodecomposition at a rate that precludes any reproducible photoelectrochemistry. When the reagent A is confined to the photoelectrode surface sustained current flow results from the sequence represented by equations (4)-(5) where the photo-



generated, surface-confined oxidant,  $A^+$ , reacts heterogeneously with a solution species B to form  $B^+$  and reduce  $A^+$  to A. (2,3,9, 10) For the surfaces resulting from treatment with II the surface oxidant is a ferricenium derivative and anything oxidizable with ferricenium should be oxidizable with a photoanode derivatized with II. A number of aqueous species B have been photooxidized using n-type Si derivatized with II including  $\text{Ru}(\text{NH}_3)_6^{2+}$ ,  $\text{Fe}(\text{CN})_6^{4-}$ ,  $\text{Co}(2,2'\text{-bipyridine})_3^{2+}$ , and  $\text{I}^-$ . (2,3,9,10) In every case the photocurrent is relatively constant compared to that from a naked n-type Si photoanode. Quite interestingly, no aqueous redox additive has been demonstrated to suppress photooxidation of Si to the extent that can be achieved using the surface derivatization procedure. However, even electrodes functionalized with I do not last indefinitely in aqueous solution. Typically, naked n-type Si photoanodes give a photocurrent that declines by >90% in <5 min under conditions where the derivatized electrode shows <20% in 60 min. In such experimentation it has been demonstrated that each ferrocene center on the surface can be oxidized and reduced  $>10^5$  times without significant loss of electroactive material. (2,3) The decline in efficiency found for n-type Si photoanodes derivatized with II seems to be attributable to the slow growth of an  $\text{SiO}_x$  layer between the bulk Si and the derivatizing layer.

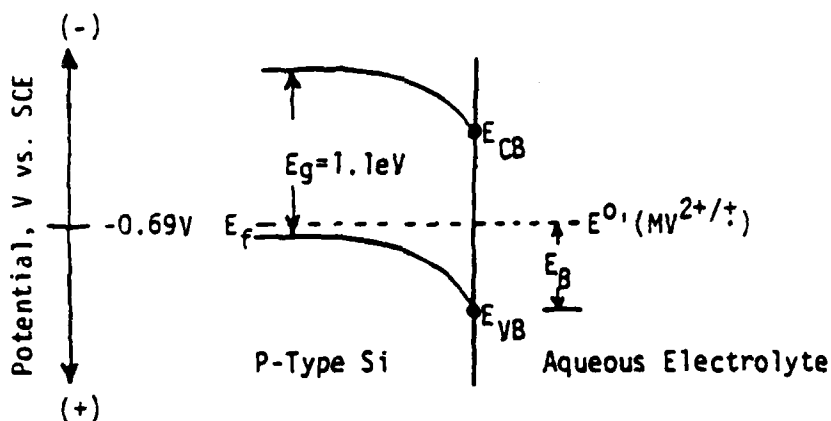
The ability to observe sustained electrical output from n-type Si-based cells after derivatization of the surface of Si with II indicates that such surface chemistry may prove useful. The maximum value of  $E_y$  is 0.5 - 0.6 V for n-type Si derivatized with II which is not too bad considering that the band gap,  $E_g$ , of Si is only 1.1 eV. The ferrocene system is fairly durable in both oxidation states and its heterogeneous electron transfer kinetics are good;  $k_4$  is large. Further, the ferricenium does not appear to be capable of effecting Si oxidation to an extent that a thick, insulating  $\text{SiO}_x$  layer results. Unfortunately, the features that make the ferricenium/ferrocene couple attractive

also detract from its usefulness in the generation of energy-rich compounds using the photoanode-based cells. First, ferricenium is an oxidant, but a weak one;  $E^{\circ'}(\text{ferricenium/ferrocene})$  for the surface species derived from II is  $\sim +0.5$  V vs. SCE. Some data for  $E^{\circ'}$  for various electrodes are given in Table I. Second, and more important, ferricenium is a one-electron, outer-sphere oxidant. Most of the desired photoanodic process for fuel formation involve multi-electron transfer processes:  $\text{O}_2$  from  $\text{H}_2\text{O}$ ,  $\text{Br}_2$  from  $\text{Br}^-$ , etc. Thus, while there are many aqueous reagents B that can be oxidized with a large value of  $k_5$ , equation (5), the generation of useful, powerful oxidants is either thermodynamically forbidden or kinetically sluggish. Electrodes only derivatized with II thus do not provide evidence that useful oxidation processes can be effected. However, it may be possible to introduce oxidation catalysts into the derivatizing layer from II that will accelerate the multi-electron processes of interest, as has been done for  $\text{H}_2$  evolution, *vide infra*.(7,8)

Work in other laboratories has demonstrated that n-type Si or GaAs can be protected from photocorrosion using a derivatizing procedure involving the polymerization of pyrrole to coat the surface with an electronically conducting film.(4,5) This procedure is analogous to coating the electrode with a uniform metal overcoat to yield a "buried" photosensitive interface. In such a case, the  $\text{h}^+$  does not contact the liquid electrolyte at all and thus photocorrosion is only possible if there are pinholes in the polymer overcoat. As for the surfaces derived from II, the polypyrrole-coated electrodes likely suffer from poor kinetics for processes such as  $\text{O}_2$  generation and surface catalysts are needed. Again, however, considerable improvement in durability is attainable compared to naked photoanodes.

#### Catalysis of $\text{H}_2$ Generation from P-Type Semiconductor Photocathodes

Many p-type semiconductors should be capable of effecting the generation of  $\text{H}_2$  from  $\text{H}_2\text{O}$  using light as the driving force, since it can be shown that the bottom of the conduction band,  $E_{\text{CB}}$ , can be more negative than  $E^{\circ'}(\text{H}_2\text{O}/\text{H}_2)$ . Work in this laboratory has focused on the use of p-type Si as a photocathode.(7,8) It was shown that N,N'-dimethyl-4,4'-bipyridinium,  $\text{MV}^{2+}$ , can be photoreduced to  $\text{MV}^+$  in aqueous solution at a pH where  $E^{\circ'}(\text{MV}^{2+}/+)$   $\sim E^{\circ'}(\text{H}_2\text{O}/\text{H}_2)$  establishing the interface energetics to be as represented in Scheme III.(21,22) For  $\text{MV}^{2+}$  reduction to  $\text{MV}^+$  the maximum  $E_{\text{y}}$  was found to be  $\sim 0.5$  V. For p-type photocathodes  $E_{\text{y}}$  is the extent to which the photoreduction can be effected at a more positive potential than  $E_{\text{redox}}$  of the redox species. Good photocurrent-voltage curves were found for the p-type Si/ $\text{MV}^{2+}/+$  system.

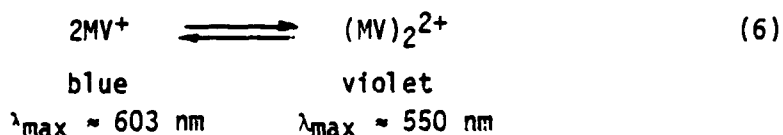


**Scheme III.** Representation of the interface energetics for p-type Si in contact with an aqueous electrolyte solution containing the  $MV^{2+}/+$  couple at its formal potential. The barrier height  $E_B$  approximately equals the maximum photovoltage,  $E_y$ . The maximum  $E_y$  is obtained at high light intensity at open-circuit.

Under the conditions where the  $MV^{2+}$  reduction occurs with good output parameters the reduction of  $H_2O$  does not occur, despite the fact that formation of  $MV^+$  is as difficult thermodynamically as the formation of  $H_2$  from  $H_2O$ . Not unexpectedly, the formation of  $H_2$  from  $H_2O$  is kinetically more difficult than the one-electron, outer-sphere reduction of  $MV^{2+}$ . At this point, work in this laboratory commenced toward the use of reagent III as a derivatizing agent for p-type Si, since the reducing power of the  $MV^+$  is sufficiently great to evolve  $H_2$  from  $H_2O$  at  $pH < 7$ . Exploiting the reducing power, though, requires the use of a catalyst to equilibrate the  $(MV^{2+}/+)$  with  $(H_2O/H_2)$ . Our work has been involved with the use of polymers derived from III that are confined to the p-type Si surface,  $[(PQ^{2+} \cdot 2Br^-)_n]_{surf.}$ , that have been further functionalized to include either Pt(0) or Pd(0) to equilibrate the  $[(PQ^{2+}/+)_n]_{surf.}$  with the  $(H_2O/H_2)$  couple.

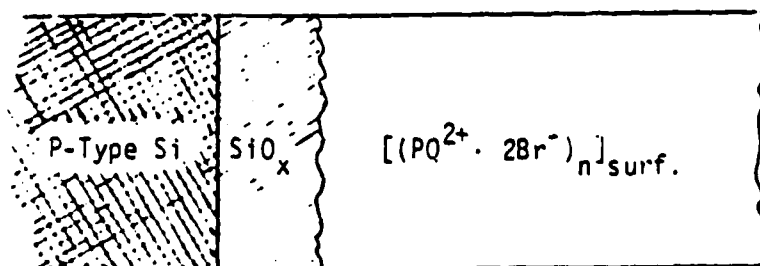
A number of physical techniques have been used to characterize electrode surfaces derivatized with III. In the first study (23), the cyclic voltammetry of Pt and p-type Si electrodes bearing  $[(PQ^{2+} \cdot 2Br^-)_n]_{surf.}$  was used to confirm the surface attachment of polymeric quantities of  $PQ^{2+}$  centers. In  $CH_3CN$ /electrolyte solution the positions of waves on Pt for the

$[(PQ^{2+}/+)_{n}]_{surf.}$  and  $[(PQ^{+}/0)_{n}]_{surf.}$  systems are very close to those expected from the  $E^{\circ'}$  for  $(MV^{2+}/+)$  in solution.(23) Some representative data for the N,N'-dialkyl-bipyridinium systems are given in Table II. Notice that the  $E^{\circ'}$  for  $[(PQ^{2+}/+)_{n}]_{surf.}$  in  $H_2O$  electrolyte is somewhat more positive (~100-150 mV) than the  $E^{\circ'}$  for the  $(MV^{2+}/+)$  solution species. We attributed(8) this shift to the fact that the radical monocations of such species are known to reversibly dimerize as shown in equation (6) for the



$MV^{+}$  case.(24) Optical spectral changes as a function of the concentration of the  $MV^{+}$  (or the one-electron reduced form of III)(25) are consistent with the reversible equilibrium represented by equation (6). The  $[(PQ^{2+}/+)_{n}]_{surf.}$  system is violet in color(25), not blue, consistent with aggregation of the  $PQ^{+}$  centers due to the high effective concentration. Since the  $E^{\circ'}$  data for  $(MV^{2+}/+)$  in  $H_2O$  solution are for low concentrations, the  $E^{\circ'}$  is not directly comparable to that for the surface-confined analogue. These properties (optical spectra and  $E^{\circ'}$ ) associated with aggregation of the redox center represent one of the ways that the surface-confined species may depart from expectations from measurements for the solution species at low concentration.

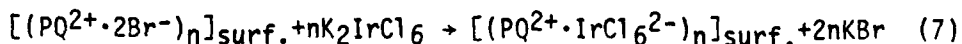
In addition to optical spectra and cyclic voltammetry, Auger spectra and Auger spectra while sputtering surfaces modified with III have been crucial to the development of a surface catalyst for improving  $H_2$  kinetics. For example, recording Auger signal intensity for various elements while sputtering the surface of p-type Si derivatized with III gives an analysis of elemental composition as a function of depth from the outer surface. So-called depth profile analyses yielded the essential representation of the interface given in Scheme IV.(7) A key



Scheme IV. Side view of the interface resulting from functionalization of p-type Si with reagent III. At about  $10^{-8}$  mol of  $PQ^{2+}$  per  $cm^2$  the thickness of the polymer is in the vicinity of 1000 Å.

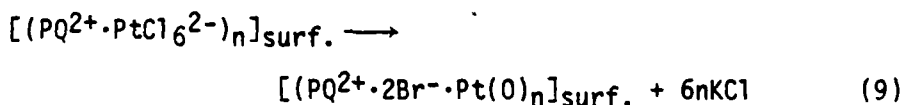
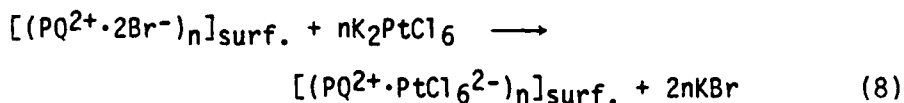
feature revealed is the presence of a  $\text{SiO}_x$  layer between the bulk p-type Si and the polymer. The oxide is likely the air oxide found on Si and is in the range of 20 Å in thickness and non-stoichiometric.(26,27) The consequence of the non-stoichiometric oxide is that there remains a significant density of surface states at the p-type Si/ $\text{SiO}_x$  interface such that Fermi level pinning occurs.(13,28)

There is considerable reservation concerning the use of Auger spectroscopy and sputtering techniques for organic materials owing to problems typically encountered from  $e^-$  beam and sputtering beam damage.(29) In our system we have been fortunate to be able to test whether there are problems of this sort by using the fact that ion exchange reactions can occur as in equation (7) that lead to the persistent electrostatic binding



of reversibly electroactive anions as has been done earlier by other workers.(30-32) Analysis of the amount of the electroactive anion present relative to the amount of  $\text{PQ}^{2+}$  on the surface can be established by cyclic voltammetry. Subsequent analysis of the same surfaces by depth profile analysis reveals excellent consistency with the data from cyclic voltammetry. Table III summarizes Auger and cyclic voltammetry analyses of electrode surfaces bearing  $\text{PQ}^{2+}$  that were exposed to  $\text{H}_2\text{O}/0.1 \text{ M K}_2\text{SO}_4/\text{K}_2\text{IrCl}_6$ .(33) Note that under the conditions employed, the Ir complex is ultimately present in the polymer as the  $\text{IrCl}_6^{3-}$  and that  $>25 \mu\text{M IrCl}_6^{2-}$  is sufficient to completely charge compensate the  $\text{PQ}^{2+}$  system. At low  $\text{IrCl}_6^{2-}$  concentrations the  $\text{SO}_4^{2-}$  is competitively bound to the surface and there is an excellent correlation with Cl (from  $\text{IrCl}_6^{3-}$ ) Auger signal intensity with the cyclic voltammetry data. A number of such competitive ion binding experiments have given us confidence in the depth profile technique for the substrate/ $[(\text{PQ}^{2+} \cdot 2\text{X}^-)_n]_{\text{surf.}}$  systems. We regard Auger signal intensities to give relative elemental composition to  $\pm 20\%$  for these systems.

The ion exchange reaction represented by equation (7) is directly relevant to our studies of  $\text{H}_2$  evolution in that we recognized that the  $[(\text{PQ}^{2+})_n]_{\text{surf.}}$  itself does not react with  $\text{H}_2\text{O}$  to yield  $\text{H}_2$  even though such is thermodynamically possible for pH below  $\sim 5$ . Thus, we incorporated Pt(0) into the surface-confined polymer according to equations (8) and (9) in order to

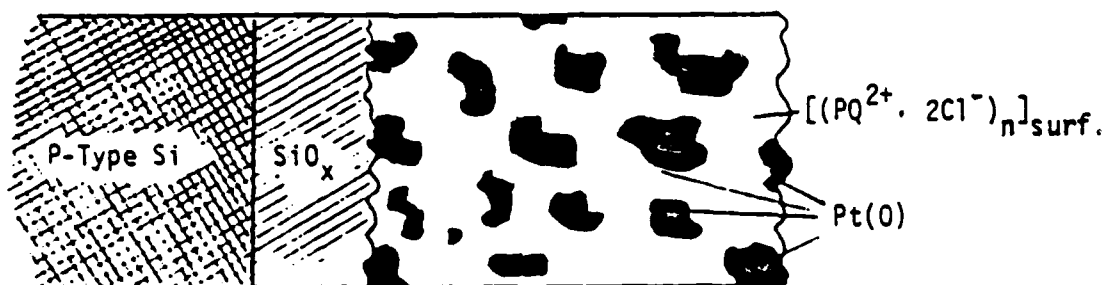


equilibrate the  $[(PQ^{2+}/+)_n]_{surf.}$  couple with the  $(H_2O/H_2)$  couple. (7,8) Direct evidence that this can be done comes from functionalization of the inside of a Pyrex test tube with III followed by ion exchange with  $PtCl_6^{2-}$  and chemical reduction of the surface-confined system with  $H_2$  at pH  $\approx 7$ . Reduction of  $[(PQ^{2+})_n]_{surf.}$  to  $[(PQ^+)_n]_{surf.}$  using  $H_2$  can be monitored spectrophotometrically as a function of pH. (8) In the absence of Pt(O) no detectable reaction occurs. For  $[(PQ^{2+}/+ \cdot Pt(O))_n]_{surf.}$  we find that the spectral changes with pH under 1 atm  $H_2$  yield an  $E^\circ'$  for the  $[(PQ^{2+}/+)_n]_{surf.}$  couple that is the same, within experimental error, as that found from cyclic voltammetry. (34)

Photocathode material p-type Si/ $[(PQ^{2+} \cdot 2Cl^- \cdot Pt(O))_n]_{surf.}$  does yield much improved  $H_2$  evolution compared to naked p-type Si. In particular, the naked electrode gives no significant photocurrent at  $E_f$  more positive than  $E^\circ'(H_2O/H_2)$ . This means that there is no output photovoltage for the  $H_2$  evolution and light (to create carriers) and electrical energy are needed to reduce  $H_2O$ . In fact, less total electrical energy would be needed to reduce  $H_2O$  with a good conventional  $H_2$  electrode such as platinized Pt. For the derivatized p-type Si photoelectrode we observe that  $[(PQ^{2+})_n]_{surf.}$  can be reduced to  $[(PQ^+)_n]_{surf.}$  under  $>E_g$  illumination at  $E_f$  up to  $\sim 0.5$  V more positive than  $E^\circ'$  for  $[(PQ^{2+}/+)_n]_{surf.}$ . Thus, at the high light intensity limit we find  $E_y \approx 0.5$  V. The incorporation of Pt(O) into the surface polymer thus allows realization of an  $E_y \approx 0.5$  V for the reduction of  $H_2O$  to  $H_2$ . Photocurrent for  $H_2$  evolution from the p-type Si/ $[(PQ^{2+} \cdot 2Cl^- \cdot Pt(O))_n]_{surf.}$  onsets at the potential where the reduction of  $[(PQ^{2+})_n]_{surf.}$  occurs. Since the  $E^\circ'$  for  $[(PQ^{2+}/+)_n]_{surf.}$  is essentially independent of pH, Table II, and  $E^\circ'(H_2O/H_2)$  varies 59 mV/pH, there is an optimum pH where rate (current) times  $E_y$  is a maximum. Table IV shows some typical sets of power output (photocurrent  $\times E_y$ ) data vs. pH for the p-type Si/ $[(PQ^{2+} \cdot 2Cl^- \cdot Pt(O))_n]_{surf.}$  photocathodes. These data are consistent with a mechanism for rate improvement involving first reduction of the  $[(PQ^{2+})_n]_{surf.}$  followed by equilibration of the  $[(PQ^{2+}/+)_n]_{surf.}$  couple with the  $(H_2O/H_2)$  couple via the dispersed Pt(O).

The elemental Pt(O) is dispersed throughout the surface polymer as determined by depth profile analysis, (7) and a representation of the interface is given in Scheme V. According

to this view there is a certain amount of Pt(0) in contact with the thin  $\text{SiO}_x$  overlayer on the bulk p-type Si. This is a relevant structural feature, since direct deposition of Pt(0) onto photocathode surfaces is known to improve the efficiency for the reduction of  $\text{H}_2\text{O}$  to  $\text{H}_2$ . Thus, we expect that, for an interface like that depicted in Scheme V, there will be a certain



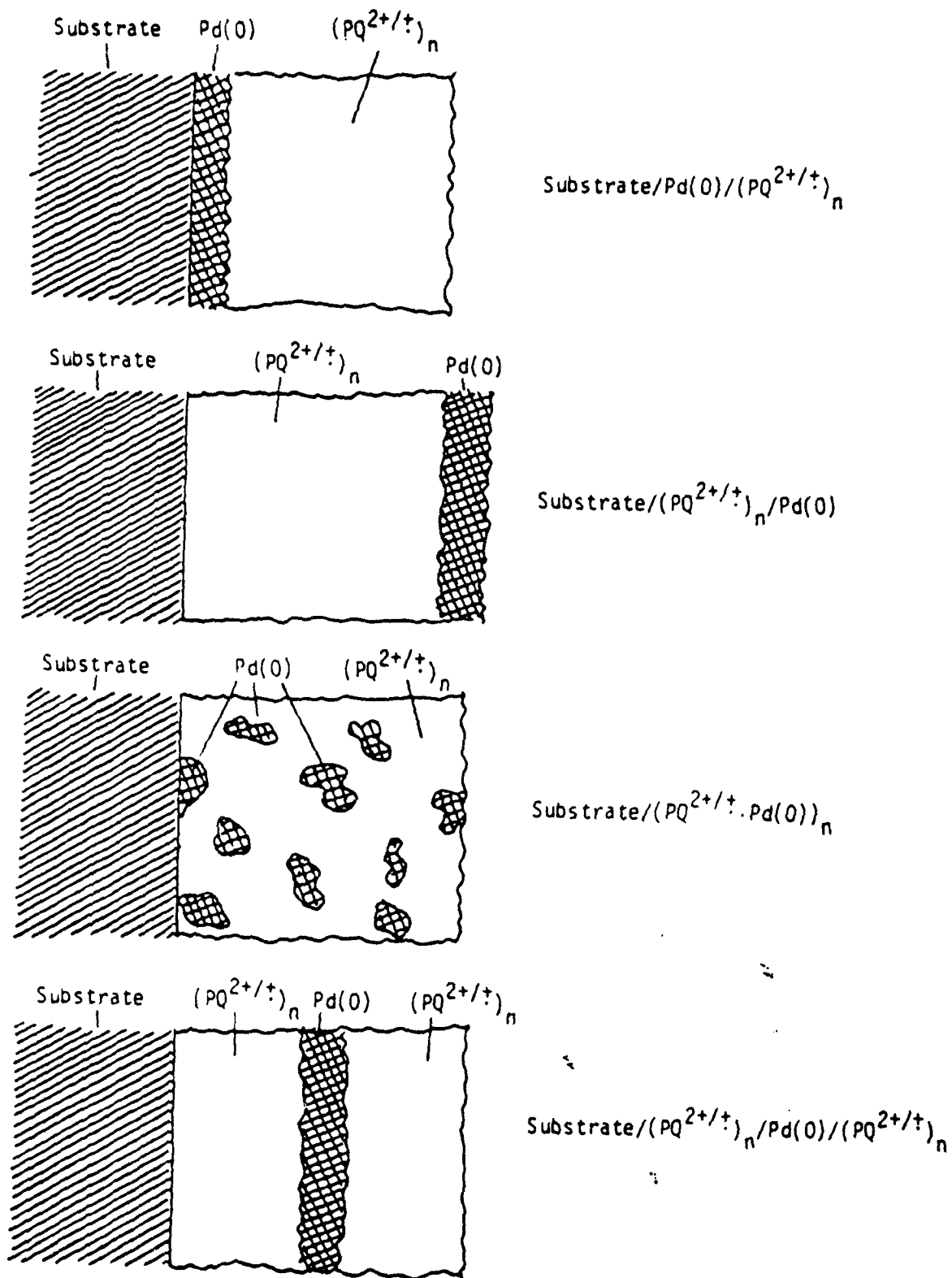
Scheme V. Side view of interface resulting from ion exchange of interface shown in Scheme IV with  $\text{PtCl}_6^{2-}$  followed by reduction to form Pt(0) dispersed through the polyion.

amount of the  $\text{H}_2$  evolution occurring by direct catalysis of the reaction of the photoexcited electrons with  $\text{H}_2\text{O}$  at the  $\text{SiO}_x/\text{Pt(0)}$  interfaces. In the extreme of a uniform, pinhole-free coverage of Pt(0) on p-type  $\text{Si}/\text{SiO}_x$  one expects that the photocathode would operate as a buried photosensitive interface and in fact would be equivalent to an external solid state photovoltaic device driving a photoelectrolysis cell with a Pt(0) cathode. In such a case the maximum power from the device (photocurrent times  $E_y$ ) would be independent of the pH of the solution. However, for Pt(0) electrochemically deposited onto p-type  $\text{Si}/\text{SiO}_x$  photocathodes in amounts of  $\sim 10^{-8} \text{ mol/cm}^2$ , we find that the output depends on pH such that a lower efficiency is found at the low pH's, Table IV.(7,8) For the p-type  $\text{Si}/\text{SiO}_x/\text{Pt(0)}$  photocathodes the pH-efficiency data demand a different mechanism for improvement of efficiency compared to that for p-type  $\text{Si}/\text{SiO}_x/[(\text{PQ}^{2+}, 2\text{Cl}^-)_n]_{\text{surf.}}$ . The key fact is that the efficiency appears to peak at a particular pH for the redox polymer system, consistent with the pH independent reducing power of the redox couple. For the case of Pt(0) on the p-type  $\text{Si}/\text{SiO}_x$  the efficiency rises from low to high pH and does not show a peak. The fact that there is a pH dependence at all indicates that the photosensitive interface is not completely buried. The Pt(0) can be regarded as a catalyst for the reactions of the excited electrons and does not completely dominate the behavior of the interface with respect to photovoltage.

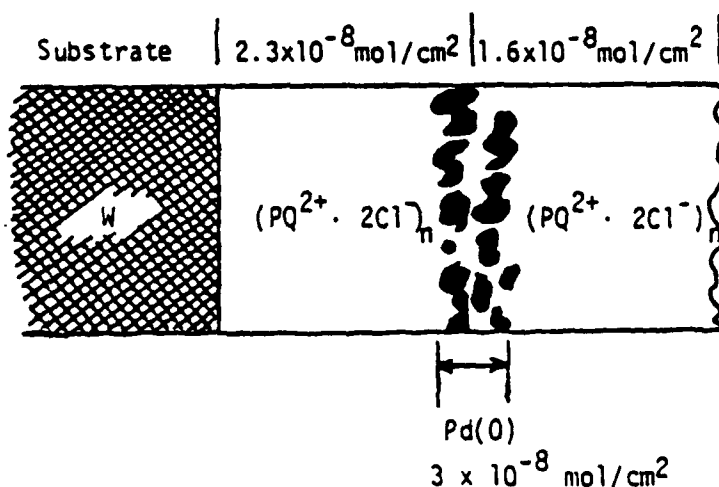
The ambiguity associated with the Pt(0) at the  $\text{SiO}_x$  in Scheme V has prompted us to synthesize interfaces where the catalyst used to equilibrate the  $[(\text{PQ}^{2+}/+)_n]_{\text{surf.}}$  couple with the  $(\text{H}_2\text{O}/\text{H}_2)$  couple is not dispersed throughout the polymer.(35) Additionally, to better test the interface structure we have turned to use of Pd(0) instead of Pt(0) as the catalyst. The kinetics for the equilibration of  $(\text{H}_2\text{O}/\text{H}_2)$  with Pd(0) are expected to be as good as for Pt(0),(36) but Pd(0) has the advantage of being much more easily detected (~25x more sensitive)(37) by Auger than is Pt(0). This allows better signal to noise in the depth profile analyses used to establish the distribution of catalyst in the polymer.

The interfaces represented by the sketch in Scheme VI have been prepared and characterization by Auger/depth profile analysis is consistent with the preparation procedure.(35) For example, in determining that Pt(0) is distributed throughout the polymer we had no proof that different distributions would yield different depth profiles. Depth profiles for the interfaces represented by Scheme VI do confirm the viability of the use of the technique to determine interface structure. Figure 1 shows representative data for a substrate/ $[(\text{PQ}^{2+}\cdot 2\text{X}^-)_n/\text{Pd(0)}]/-(\text{PQ}^{2+}\cdot 2\text{X}^-)_n]_{\text{surf.}}$  interface prepared by electrodeposition of the first  $(\text{PQ}^{2+})_n$  layer by holding the metal electrode at -0.6 V vs. SCE in an aqueous KCl solution of 1 mM III at pH = 7 until the coverage of  $[(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n]_{\text{surf.}}$  equalled  $2.3 \times 10^{-8} \text{ mol/cm}^2$  from integration of the cyclic voltammogram for the surface-confined material. The electrode was then withdrawn, washed and immersed in aqueous 0.1 M KCl and potentiostatted at -0.6 V vs. SCE to reduce the  $[(\text{PQ}^{2+})]_{\text{surf.}}$  partially to  $[(\text{PQ}^+)_n]_{\text{surf.}}$ . While the electrode was held at -0.6 V vs. SCE,  $\text{K}_2\text{PdCl}_4$  was added to the electrolyte and cathodic current immediately resulted, consistent with reduction of  $\text{PdCl}_4^{2-}$  to Pd(0). At this point, a depth profile analysis is consistent with a substrate/ $[(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n/\text{Pd(0)}]/-(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n]_{\text{surf.}}$  interface. Electrodeposition of an additional  $1.6 \times 10^{-8} \text{ mol/cm}^2$  of  $\text{PQ}^{2+}$  from reduction of III in pH = 7 KCl yields the depth profile given in Figure 1 that is consistent with the substrate/ $[(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n/\text{Pd(0)}]/-(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n]_{\text{surf.}}$  detailed in Scheme VII.

An electrode such as  $\text{W}/[(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n/\text{Pd(0)}]/-(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n]_{\text{surf.}}$  gives improved  $\text{H}_2$  evolution properties compared to naked W in that the  $\text{H}_2$  overvoltage is reduced.(35) However, the current-voltage curves for such an electrode indicate that the improvement only occurs for pH's where the  $[(\text{PQ}^{2+}/+)_n]_{\text{surf.}}$  has the reducing power to reduce  $\text{H}_2\text{O}$  to  $\text{H}_2$ . It would appear that these findings accord well with the conclusion that the dominant mechanism for  $\text{H}_2$  evolution catalysis requires reduction of the  $[(\text{PQ}^{2+})_n]_{\text{surf.}}$ . Findings for  $[(\text{PQ}^{2+}\cdot 2\text{Cl}^-)_n/\text{Pd(0)}]_{\text{surf.}}$  where the Pd(0) is only on the outermost surface are



Scheme VI. Representation of interfaces prepared from derivatization of substrates with III and Pd(0). From ref. 35. See also Figures 1 and 3 for Auger depth profile analyses supporting structural assignments.



Scheme VII. Representation of the interface characterized by the depth profile analysis of Figure 1. Coverages indicated were determined electrochemically. Data from reference 35. See text for synthetic procedures used to prepare this interface.

also consistent with the mechanism, Figures 2 and 3. These results fully confirm the conclusion drawn from the earlier studies(7,8) of the redox polymer/Pt(0) catalyst systems where Pt(0) is dispersed throughout the polymer.

In our experiments the role of the  $[(PQ^{2+})_n]_{surf.}$  is to rapidly capture the photoexcited electrons; the Pt(0) or Pd(0) equilibrates the  $[(PQ^{2+})_n]_{surf.}$  with the  $(H_2O/H_2)$  couple. Overall, the result is the catalysis of the process represented by equation (10). All mechanisms for catalysis of this process

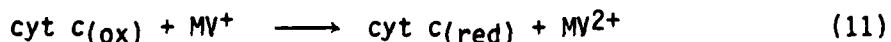


can give the same ultimate efficiency. For example, the direct platinization can improve  $H_2$  evolution, Table IV. The polymer/Pt(0) system should only work well at pH's where the polymer is a sufficiently good reductant. The directly platinized surfaces do not have such a pH dependence. It is true

that deliberate manipulation of the polymer can effect changes in the  $E^\circ$  so that the cells could operate at optimum efficiency at other pH's. However, it is not clear that a redox polymer is the procedure of choice to improve  $H_2$  evolution. Direct platinization may suffer from the requirement of using a large amount of Pt in order to achieve the buried junction likely needed to achieve durability. At this point, the only safe conclusion is that the redox polymer/Pt(0) or Pd(0) systems do improve  $H_2$  evolution kinetics for cathodes such as illuminated p-type Si or W in the dark. Whether the approach is viable for practical systems is not presently known.

#### Improvement of Kinetics for Photoreduction of Horseheart Ferricytochrome c: A Prototype Example of Superior Properties from Molecular Derivatization

Many biological molecules that can undergo simple, one-electron transfer processes often have very poor electrode kinetics owing in some cases to the fact that the redox center is buried deep inside the macromolecule.(38) However, such reagents sometimes do undergo rapid bimolecular redox reactions with small redox reagents called mediators.(39) For example, horseheart ferricytochrome c,  $cyt\ c(ox)$ , is only sluggishly reduced at most electrode surfaces,(40) but  $cyt\ c(ox)$  reacts with  $MV^+$ , equation (11), with a very large bimolecular rate constant.(41) This



raises the possibility of anchoring known mediators to electrode surfaces for the purpose of improving electron transfer kinetics. In our laboratory reagent III was used to functionalize Au, Pt, or p-type Si surfaces for the purpose of illustrating this principle.(6)

The reversible systems Au or Pt/ $[(PQ^{2+} \cdot 2Br^-)_n]_{surf.}$  were shown to be superior electrodes for  $cyt\ c(ox)$  reduction compared to the naked electrodes.(6) Reduction of  $cyt\ c(ox)$  was found to be mass transport limited when the electrode potential was held sufficiently negative to reduce the  $[(PQ^{2+})_n]_{surf.}$  to  $[(PQ^+)_n]_{surf.}$ . Thus, the results accord well with a mechanism where the reduction of  $cyt\ c(ox)$  occurs in a mass transport limited reaction with surface-confined  $PQ^+$  centers.

P-type Si photocathodes functionalized with III also effect the reduction of  $cyt\ c(ox)$  with superior kinetics compared to the naked electrode.(6) The naked p-type Si does not effect the reduction at a significant rate. The illuminated p-Si/ $[(PQ^{2+} \cdot 2Br^-)_n]_{surf.}$  cathode can be used to effect the reduction of  $cyt\ c(ox)$  at a potential  $\sim 0.5\ V$  more positive than at Au or Pt, consistent with the value of  $E_y$  for the  $[(PQ^{2+}/^+)_n]_{surf.}$  system, Table II.

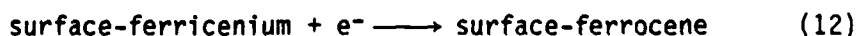
It is important to recognize that  $E^\circ$  for  $(MV^{2+}/+)$  or  $[(PQ^{2+}/+)]_{\text{surf.}}$  is significantly more negative than  $E^\circ(\text{cyt } c(\text{ox})/\text{cyt } c(\text{red})) = +0.02 \text{ V vs. SCE.}$ (42) In terms of practical consequence this means that the reversible electrodes, Au or Pt, do not respond to  $(\text{cyt } c(\text{ox})/\text{cyt } c(\text{red}))$  at the thermodynamic potential. To do this requires a surface-confined mediator having an  $E^\circ$  in the vicinity of that for the cyt c system while preserving the large rate constants.

The data for illuminated p-type Si indicate that reduction of  $\text{cyt } c(\text{ox})$  can be effected at more positive potentials, but the objective would be to obtain a good value of  $E_y$  with respect to the biological couple. Again this requires a better match of the  $E^\circ$  of the surface mediator with that of the biological reagent. It is known that the  $(MV^{2+}/+)$  system is a mediator system for a large number of biological redox systems including enzymes capable of catalyzing important multielectron transfer reactions.(43) Future studies may take advantage of the redox polymer systems to equilibrate the biological catalysts with the oxidizing and reducing carriers created by absorption of light by semiconductor electrodes. However, the practical consequences will remain small unless the photoelectrodes can be shown to have sufficiently good efficiency for the redox reaction of the mediator system. For example, the  $E_y$  of  $\sim 0.5 \text{ V}$  for the  $p\text{-Si}/[(PQ^{2+}\cdot 2X^-)]_{\text{surf.}}$  system (Table II) is too low to give high efficiency. The  $E_y$  for  $p\text{-InP}/[(PQ^{2+}\cdot 2Cl^-)]_{\text{surf.}}$  system is  $\sim 0.8 \text{ V}$  but there appear to be greater problems with interface stability.(44,45) In any event, surface attachment of mediators would appear to be a rational approach to equilibrating biological redox agents with conductors and is an area where the molecular derivatization procedure is promising. Unlike  $H_2$  evolution that can be improved by direct platinization or corrosion that can be suppressed by overcoats of electronically conducting material, the equilibration of biological redox substances with surfaces will likely require the molecular approach.

#### Measurement of Electron Transfer Rate Constants Involving Surface-Confined Redox Reagents

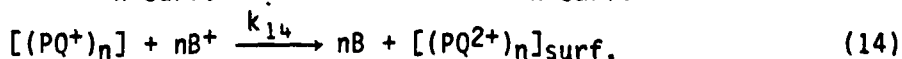
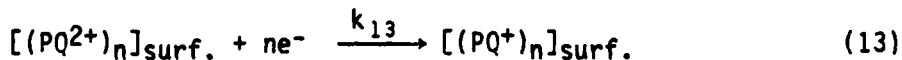
Semiconductor electrodes provide an excellent substrate for the study of redox reactions of surface-confined redox reagents. This follows from the fact that the ratio of oxidized to reduced form of a redox couple on a photoelectrode responds to two stimuli, light and potential, rather than to only potential as is the case for a redox couple confined to a reversible electrode. For example, the generation of surface-confined ferricenium from ferrocene on n-type Si requires  $>E_g$  illumination and an electrode potential that is sufficiently positive. The oxidation of ferrocene does not occur in the dark, but the reduction of

ferricenium will occur provided the electrode potential is moved sufficiently negative because there are plenty of majority charge carriers available. Thus, we have used the two stimuli response to determine rate constants such as  $k_5$  of equation (5). (9,10) The measurement involves the determination of the time dependence of the surface concentration of  $A^+$  = ferricenium in the presence of B and as a function of the concentration of B. The concentration of the surface oxidant is easily measured in the dark after reaction time  $t_i$  by a rapid potential sweep to a potential where the surface ferricenium is reduced, equation (12). Integration



of the current associated with equation (12) gives the remaining surface-ferricenium concentration. The experiment is possible on a semiconductor photoanode and not on a reversible electrode because once the ferricenium is photogenerated and illumination terminated there will be no additional ferricenium generated. By way of contrast, a reversible electrode will always have a ratio of oxidized to reduced material on the surface that is dependent only on the potential. For the photoanode the surface oxidant can be reduced by a solution reductant in the dark and the reaction can be monitored electrochemically. For n-type Si electrodes functionalized with I or II, measurements of  $k_5$  have been performed. The data show that equations (4) and (5) can account for 100% of the photocurrent. The data rule out any significant component of electrocatalysis not involving a redox reaction of a surface-ferricenium and a solution reductant. Further, the variation in  $k_5$  with B accords well with expectations from self-exchange rates of ( $B^+/B$ ) couples, the self-exchange rate of (ferricenium/ferrocene), and the driving force of reaction. (9,10)

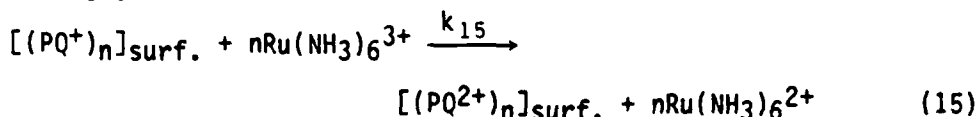
Similarly, rate constants for reaction of photogenerated surface reductants on p-type semiconductors can be measured. Thus, for the p-type Si electrodes derivatized with III, we are concerned with processes represented by equations (13) and (14).



For  $B^+ = \text{cyt } c(\text{ox})$  we have examined the time dependence of the surface concentration of  $[(PQ^+)_n]_{\text{surf.}}$  in the dark. (6) We find that the oxidation of  $[(PQ^+)_n]_{\text{surf.}}$  is limited by the rate of mass transport of  $\text{cyt } c(\text{ox})$  up to the surface, consistent with data for reduction at rotating disk Pt/ $[(PQ^{2+})_n]_{\text{surf.}}$  electrodes. Again, the direct electrochemical measurement of the time dependence of the surface concentration of  $PQ^+$  allows the conclusion that the mechanism for  $\text{cyt } c(\text{ox})$  reduction only

involves a redox mediation and no other surface catalysis, such as that observed by other workers(46,47) for other systems, need be invoked in the case. It is the ability to directly electrochemically monitor surface concentrations of the redox reagent that makes the semiconductor surface unique compared to reversible electrode surfaces. This allows an assessment of mechanism and predictability of redox reactivity from theory and measurements involving solution species.

At this point, it is worth noting that polymer-coated electrodes may suffer from a problem associated with charge transport through the polymer. For example, the reduction of  $\text{Ru}(\text{NH}_3)_6^{3+}$  according to equation (15) has been studied at



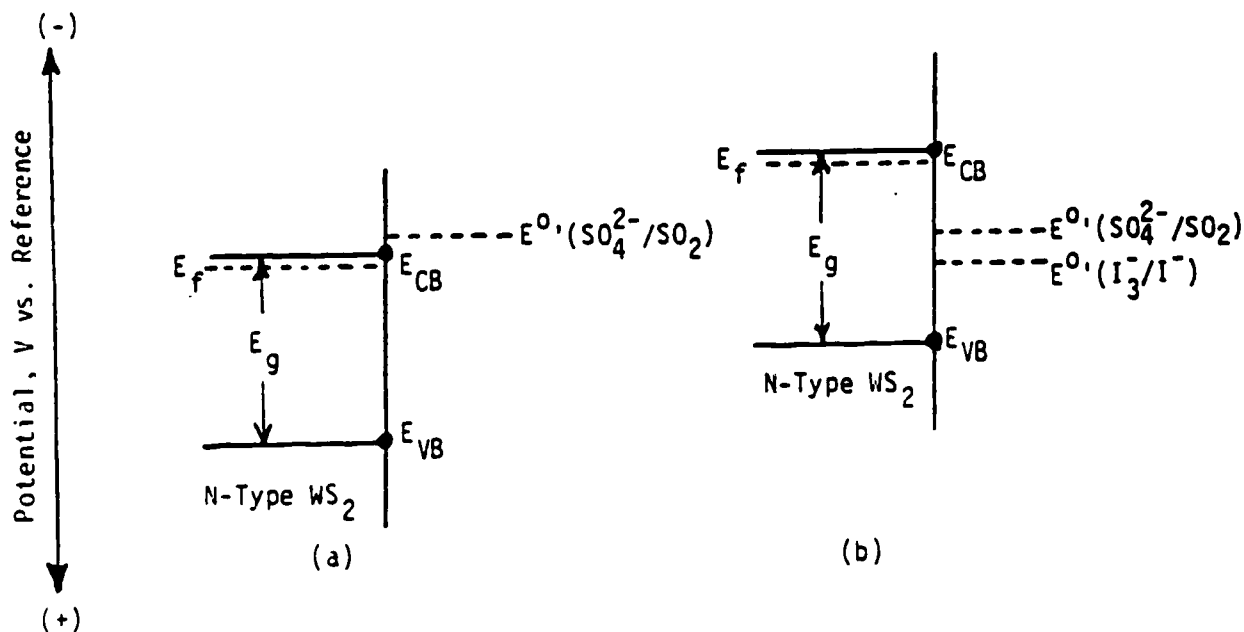
rotating disk  $[(\text{PQ}^{2+} \cdot 2\text{Cl}^-)_n]_{\text{surf.}}$  electrodes.(8) For coverages of  $\sim 10^{-8}$  mol/cm<sup>2</sup> it appears that linear plots of cathodic current vs.  $\omega^{1/2}$  can be obtained only up to certain current densities,  $\sim 20$  mA/cm. This limit depends on the concentration of the supporting KCl electrolyte and decreases with decreasing KCl concentration. These data are consistent with the conclusion that current is ultimately limited by charge transport in the polymer. This limitation may be quite important in practical applications and requires additional studies. For example, current densities of  $>20$  mA/cm<sup>2</sup> could be expected for an efficient solar photoelectrochemical device. Transport of ions and electrons must both be fast in order to overcome this limitation even if the specific rate constants such as  $k_5$ ,  $k_{14}$ , or  $k_{15}$  are sufficiently large.

#### Alteration of Interface Energetics and Surface States by Chemical Modification

Semiconductor electrodes modified with reagents I-III exhibit properties that are fairly well predicted from the properties associated with the naked semiconductors in contact with ferrocene or  $\text{MV}^{2+}$ . Strongly interacting modifiers may alter the interface energetics and surface state distribution in useful ways.(11-14) A classic example of altering surface state distribution comes from electronic devices based on Si.(48) The semiconducting Si has a large density of surface states situated between the valence band and the conduction band. Oxidation of the surface to produce a Si/SiO<sub>x</sub> interface results in a substantial diminution of the states between the valence and conduction band edges of the Si, but the density of surface states depends on the surface chemistry. Another example of surface state alteration may be the example of the improvement of

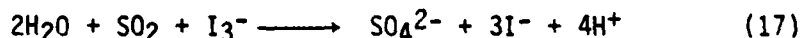
output parameters for n-type GaAs-based photoelectrochemical devices from surface pretreatment of n-type GaAs with  $\text{RuCl}_3$ .<sup>(14)</sup> Recent results in this laboratory have shown that oxidizing etches for pretreating n-type CdTe can yield a Te-rich overlayer on the surface resulting in Fermi level pinning.<sup>(49,50)</sup> A reducing etch pretreatment can lead to an n-type CdTe photoanode having nearly ideal variation of the barrier height,  $E_B$ , with changes in  $E_{\text{redox}}$  of the solution, Figure 4.<sup>(49)</sup> These examples illustrate possible consequences of semiconductor surface modification not encountered with molecular reagents. These sorts of modification would appear to be crucial to practical achievements, since interface states will likely control  $e^- - h^+$  recombination rates and  $E_y$ . Thus, controlled modification of semiconductor surfaces will be needed to achieve the high efficiency required in solar energy devices.

Ion adsorption to an electrode surface can also be regarded as a type of surface modification that can have a profound effect on photoelectrochemistry.<sup>(11,12)</sup> A classic example here is the pH dependence of the band edge positions of metal oxide electrodes.<sup>(51)</sup> Recently, work in this laboratory has illustrated that ion adsorption can dramatically alter the photoelectrochemical performance of a semiconductor.<sup>(11)</sup> It was shown that the presence of as little as 1 mM  $\text{I}^-$  in 6M  $\text{H}_2\text{SO}_4$ /1 M  $\text{SO}_2$  can alter the band edge positions of  $\text{WS}_2$  as illustrated in Scheme VIII.

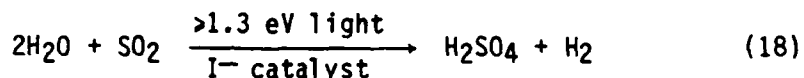


Scheme VIII. Interface energetics for n-type  $\text{WS}_2$  in the absence (a) and presence of  $\text{I}^-$  in  $\text{H}_2\text{SO}_4/\text{SO}_2$  solution. Data are from ref. 11.

The  $\sim 0.6$  V negative shift allows a fairly good  $E_y$  to be obtained with respect to  $E^0(\text{SO}_4^{2-}/\text{SO}_2)$ . Further, the photooxidation of the  $\text{I}^-$  significantly improves the overall rate of  $\text{SO}_2$  oxidation via equations (16) and (17). In the absence of  $\text{I}^-$  the



$E^0(\text{SO}_4^{2-}/\text{SO}_2)$  indicates that  $\text{SO}_2$  oxidation should occur in the dark, since  $E_{\text{CB}}$  is more positive. However,  $\text{SO}_2$  oxidation has poor kinetics(52), and oxidation of the  $\text{SO}_2$  is not found either in the dark or upon  $>E_g$  illumination. The  $\text{I}^-$  thus plays the dual role of favorably altering the interface energetics (to give a good  $E_y$ ) and providing a mechanism to give good kinetics. Figure 5 illustrates the effect of  $\text{I}^-$  on the photoelectrochemical oxidation of  $\text{SO}_2$  at illuminated  $\text{MoS}_2$  that behaves in a manner similar to that for  $\text{WS}_2$ .(11) The n-type  $\text{WS}_2$  is able to effect the overall process represented by equation (18). The  $\text{H}_2$  is evolved at the dark cathode and the process can be effected with



no energy input other than the light. At ~50%  $\text{H}_2\text{SO}_4$  the process is ~0.3 V uphill(52) and the surprisingly rugged n-type  $\text{WS}_2$  gives 632.8 nm power conversion efficiencies of up to ~13% (~6 mW/cm<sup>2</sup> input) with no other energy input.

Ions are not typically persistently bound and their lability may preclude general utility. However, the  $\text{WS}_2/\text{I}^-$  system provides evidence that modification of the proper sort can yield extraordinary consequences. Modification procedures resulting in an irreversible interface change like that from  $\text{I}^-$  adsorption would be useful.

### Conclusion

Chemical treatment of the surfaces of semiconductor photo-electrode surfaces can result in profound, positive changes in interface properties and overall performance of the photo-electrodes. Illustrations of the use of one-electron surface reagents to suppress photocorrosion and to improve electrode kinetics for large biological molecules establishes a possible role for such species in future studies and possibly in applications. However, the important overall processes in photoelectrochemical energy conversion are multi-electron processes that will likely require reagents that involve inner sphere redox character. Combinations such as the redox polymer/ $\text{Pt(0)}$  are prototype electron transfer catalysts that can improve kinetics for multi-electron transfer processes. Surface modification to remove surface states and alter interface energetics requires elaboration in order to achieve high efficiency devices. At this point it appears that chemical pretreatments of photo-electrode surfaces will be the rule rather than the exception. The procedures will range from etches for increasing surface area to molecular derivatization for improvement of the rate of equilibration of large biological redox systems with the semiconductor surface.

### Acknowledgements

Research performed in this laboratory and cited in the references has been supported in part by the United States Department of Energy, Office of Basic Energy Sciences, Division of Chemical Sciences. Work on cadmium telluride was partially supported by the Office of Naval Research. Support from the Dow Chemical Company and GTE Laboratories, Inc. is also gratefully acknowledged.

TABLE I. Formal Potentials and Photovoltages for Surface-Confined Ferrocene Reagents

| Derivatizing Reagent | Electrode Substrate | $E^{\circ'}$ , V vs. SCE $\pm$ 0.03 <sup>a</sup> | $E_y$ , V <sup>b</sup> |
|----------------------|---------------------|--|------------------------|
| <u>I</u>             | Pt                  | +0.43  | ---                    |
|                      | Au                  | +0.43  | ---                    |
|                      | n-type Si           | [+0.43] <sup>c</sup>                             | -0.4 - 0.6             |
| <u>II</u>            | Pt                  | +0.50  | ---                    |
|                      | Au                  | +0.45  | ---                    |
|                      | n-type Si           | [+0.45] <sup>c</sup>                             | -0.5 - 0.6             |
|                      | n-type GaAs         | [+0.45] <sup>c</sup>                             | -0.7                   |
|                      | n-type Ge           | [+0.45] <sup>c</sup>                             | -0.2                   |

<sup>a</sup>Data for Pt and Au electrodes are from cyclic voltammograms in CH<sub>3</sub>CN/0.1 M [n-Bu<sub>4</sub>N]ClO<sub>4</sub>. Data are from a number of determinations as given in: Wrighton, M.S.; Palazzotto, M.C.; Bocarsly, A.B.; Bolts, J.M.; Fischer, A.B.; Nadjro, L. J. Am. Chem. Soc., 1978, 100, 7264; Bolts, J.M.; Wrighton, M.S. *ibid.*, 1978, 100, 5257 and 1979, 101, 6179; Bruce, J.A.; Wrighton, M.S. J. Electroanal. Chem., 1981, 122, 93; Fischer, A.B. Ph.D. Thesis, M.I.T., 1981.

<sup>b</sup> $E_y$  is the photovoltage obtained for the derivatized n-type semiconductor photoanodes. We assume  $E^{\circ'}$  to be the values given in brackets and  $E_y$  is the extent to which the peak of the photoanodic current is more negative than  $E^{\circ'}$  under  $\gg E_g$  illumination. Data are from references given in (a).

<sup>c</sup>We assume  $E^{\circ'}$  to be the same on the n-type semiconductors as on metallic electrodes but these values have not been measured, since the n-type semiconductors generally are not reversible.

Table II. Formal Potentials and Photovoltages for Surface-Confined N,N'-Dialkyl-4,4'-Bipyridinium Reagents and For Solution N,N'-Dimethyl-4,4'-Bipyridinium

| Species <sup>a</sup>                                   | Electrode             | Solvent                   | E°', V vs. SCE <sup>b</sup> | E <sub>y</sub> , V <sup>c</sup> |
|--|-----------------------|---------------------------|-----------------------------|---------------------------------|
| (MV <sup>2+</sup> /+) <sub>soln.</sub>                 | Pt, Au, n-Si          | CH <sub>3</sub> CN        | -0.45                       | ---                             |
|  | p-Si                  | CH <sub>3</sub> CN        | [-0.45] <sup>d</sup>        | ~0.5                            |
|  | p-InP                 | CH <sub>3</sub> CN        | [-0.45] <sup>d</sup>        | ~0.8 <sup>e</sup>               |
|  | Hg, n-Si              | H <sub>2</sub> O (pH=1-7) | -0.69                       | ---                             |
|  | Pt, Au                | H <sub>2</sub> O (pH=7)   | -0.69                       | ---                             |
|  | p-Si                  | H <sub>2</sub> O (pH=1-7) | [-0.69] <sup>d</sup>        | ~0.5                            |
|  | p-InP                 | H <sub>2</sub> O (pH=1-7) | [-0.69] <sup>d</sup>        | ~0.8 <sup>e</sup>               |
| (III) <sub>soln.</sub>                                 | Pt, Au, n-Si          | CH <sub>3</sub> CN        | -0.45                       | ---                             |
|  | Pt, Au, n-Si          | H <sub>2</sub> O (pH=7)   | -0.66                       | ---                             |
| [(PQ <sup>2+</sup> /+) <sub>n</sub> ] <sub>surf.</sub> | Pt, Au, n-Si          | CH <sub>3</sub> CN        | -0.45                       | ---                             |
|  | Pt, Au, n-Si          | H <sub>2</sub> O (pH=7)   | -0.55                       | ---                             |
|  | W, n-MoS <sub>2</sub> |                           |                             |                                 |
|  | p-Si                  | CH <sub>3</sub> CN        | [-0.45] <sup>d</sup>        | ~0.5                            |
|  | p-Si                  | H <sub>2</sub> O (pH=1-7) | [-0.45] <sup>d</sup>        | ~0.5                            |

<sup>a</sup>MV<sup>2+</sup>=N,N'-Dimethyl-4,4'-bipyridinium; (III)<sub>soln.</sub> is the species (III) dissolved in solution; in H<sub>2</sub>O, of course, III hydrolyzes; [(PQ<sup>2+</sup>/+)<sub>n</sub>]<sub>surf.</sub> is the surface-confined material from functionalization with III.

<sup>b</sup>Data are from ref. 8 and are from the average position of the reduction and oxidation wave of cyclic voltammetry scans.

<sup>c</sup>E<sub>y</sub> is the photovoltage obtained from the p-type semiconductors for the reduction of the oxidized form of the redox couple. We assume E°' to be the values in brackets and E<sub>y</sub> is the extent to which the cathodic current peak is more positive than E°' under >E<sub>g</sub> illumination.

<sup>d</sup>We assume E°' to be the same at the p-type semiconductors as on the reversible electrodes, but these values have not been measured because the p-type semiconductors are not reversible.

<sup>e</sup>These data from Dominey, R.N.; Lewis, N.S.; Wrighton, M.S. J. Am. Chem. Soc., 1981, 103, 1261.

Table III. Correlation of Auger and Cyclic Voltammetric Analysis of  $\text{Pt}/[(\text{PQ}^{2+} \cdot 2/3\text{IrCl}_6^{3-} \cdot (1-x)\text{SO}_4^{2-})_n]_{\text{surf.}}^a$

| Electrode Number | $[\text{K}_2\text{IrCl}_6]_{\text{Mb}}$ | Cyclic Voltammetry  |   | $x^d$ | Auger Cl/C <sup>e</sup> |
|------------------|---|---|---|-------|-------------------------|
|                  |   | Coverage <sup>c</sup> $[(\text{PQ}^{2+})_n]_{\text{surf.}}$ | Coverage <sup>c</sup> $[\text{IrCl}_6^{3-}]_{\text{surf.}}$ |       |                         |
| 1                | 0                                       | $5.0 \times 10^{-9}$  | 0   | 0.0   | 0                       |
| 2                | 1.0                                     | $7.6 \times 10^{-9}$  | $0.5 \times 10^{-9}$  | 0.1   | 0.04                    |
| 3                | 2.5                                     | $7.6 \times 10^{-9}$  | $1.1 \times 10^{-9}$  | 0.2   | 0.15                    |
| 4                | 5.0                                     | $5.0 \times 10^{-9}$  | $1.8 \times 10^{-9}$  | 0.5   | 0.35                    |
| 5                | 25.0                                    | $7.3 \times 10^{-9}$  | $3.9 \times 10^{-9}$  | 0.8   | 0.55                    |
| 6                | 50.0                                    | $7.3 \times 10^{-9}$  | $5.1 \times 10^{-9}$  | 1.0   | 0.73                    |

<sup>a</sup>Data are from ref. 33.

<sup>b</sup> $\text{K}_2\text{IrCl}_6$  is present at various concentrations indicated;  $\text{K}_2\text{SO}_4$  is present at 0.1 M in  $\text{H}_2\text{O}$ .

<sup>c</sup>Coverage determined by integration of cyclic voltammetry waves for  $[(\text{PQ}^{2+})_n]_{\text{surf.}}$  and then for  $[\text{IrCl}_6^{2-/3-}]_{\text{surf.}}$  after equilibration. Units are  $\text{mol}/\text{cm}^2$  and error is  $\pm 5\%$ .

<sup>d</sup> $x$  is the stoichiometric coefficient determined by the ratio of the coverage of  $[(\text{PQ}^{2+})_n]_{\text{surf.}}$  and electrostatically bound  $\text{IrCl}_6^{3-}$ .  $x$  ranges from 0-1 in  $[(\text{PQ}^{2+} \cdot 2/3\text{IrCl}_6^{3-} \cdot (1-x)\text{SO}_4^{2-})_n]_{\text{surf.}}$ .

<sup>e</sup>Auger data are from surface analysis after withdrawing the electrode and washing with distilled  $\text{H}_2\text{O}$ . Data given are the observed relative signal intensity and are not corrected for element sensitivity. C is constant and associated with  $[(\text{PQ}^{2+})_n]_{\text{surf.}}$  and Cl is associated with bound  $\text{IrCl}_6^{3-}$ . Ratios are  $\sim \pm 20\%$ .

Table IV. Comparison of pH Dependence on Photoelectrochemical H<sub>2</sub> Generation Efficiency from p-Type Si/Pt(O) and from p-Type Si/[(PQ<sup>2+</sup>·2Cl<sup>-</sup>·Pt(O))<sub>n</sub>]<sub>surf</sub>. Photocathodes.<sup>a</sup>

| Electrode <sup>b</sup>  | pH  | Input Pwr at<br>632.8 nm, mW/cm <sup>2</sup> | $\eta$ , % <sup>c</sup> |
|---|-----|--|-------------------------|
| <u>p-Si/Pt(O)</u>   |     |  |                         |
| #1  | 1.1 | 11.8   | 0.4                     |
|   | 3.9 | 11.8   | 2.3                     |
|   | 6.5 | 11.8   | 4.1                     |
| #2  | 1.1 | 11.8   | 3.5                     |
|   | 3.9 | 11.8   | 6.9                     |
|   | 5.4 | 11.8   | 7.1                     |
| <u>p-Si/[(PQ<sup>2+</sup>/2Cl<sup>-</sup>·Pt(O))<sub>n</sub>]<sub>surf</sub>.</u> |     |  |                         |
| #1  | 1.0 | 10.9   | 0.9                     |
|   | 4.0 | 10.8   | 5.0                     |
|   | 5.5 | 11.2   | 1.8                     |
| #2  | 1.0 | 6.9  | 1.8                     |
|   | 4.0 | 6.9  | 3.8                     |
|   | 8.0 | 6.9  | 2.3                     |
| #3  | 1.0 | 20.8   | 0.5                     |
|   | 4.0 | 20.8   | 3.7                     |
|   | 5.5 | 20.8   | 2.7                     |

<sup>a</sup>Data are from ref. 8.

<sup>b</sup>Electrodes p-Si/Pt(O) have been prepared by electrodeposition of Pt(O) from PtCl<sub>6</sub><sup>2-</sup> directly onto p-Si/SiO<sub>x</sub>; approximate coverage is  $\sim 5 \times 10^{-8}$  mol/cm<sup>2</sup>. The p-Si/[(PQ<sup>2+</sup>·2Cl<sup>-</sup>·Pt(O))<sub>n</sub>]<sub>surf</sub> electrodes were prepared by first treating with III followed by ion exchange with PtCl<sub>6</sub><sup>2-</sup> and reduction to yield Pt(O) dispersed in the polymer. The coverage of PQ<sup>2+</sup> is typically  $10^{-8}$  mol/cm<sup>2</sup>; the ion exchange incorporates one Pt atom per PQ<sup>2+</sup> center.

<sup>c</sup>Power conversion efficiency. The input power is that from a He/Ne laser (632.8 nm). The output power is  $E_y$  times photocurrent. Thus  $\eta$  in % is given by (output power/input power) x 100%. Data given are representative of a number of determinations given in ref. 8.

LITERATURE CITED

1. Wrighton, M.S.; Austin, R.G.; Bocarsly, A.B.; Bolts, J.M.; Haas, O.; Legg, K.D.; Nadjio, L.; Palazzotto, M.C. J. Am. Chem. Soc., 1978, 100, 1602.
2. Bolts, J.M.; Bocarsly, A.B.; Palazzotto, M.C.; Walton, E.G.; Lewis, N.S.; Wrighton, M.S. J. Am. Chem. Soc., 1979, 101, 1378.
3. Bocarsly, A.B.; Walton, E.G.; Wrighton, M.S. J. Am. Chem. Soc., 1980, 102, 3390.
4. (a) Noufi, R.; Tench, D.; Warren, L.F. J. Electrochem. Soc., 1980, 127, 2310; (b) Noufi, R.; Frank, A.J.; Nozik, A.J. J. Am. Chem. Soc., 1981, 103, 1849; (c) Skotheim, T.; Lundstrom, I.; Prejza, J. J. Electrochem. Soc., 1981, 128, 1625.
5. Fan, F.-R. F.; Wheeler, B.L.; Bard, A.J.; Noufi, R.N. J. Electrochem. Soc., 1981, 128, 2042.
6. Lewis, N.S.; Wrighton, M.S. Science, 1981, 211, 944.
7. Bookbinder, D.C.; Bruce, J.A.; Dominey, R.N.; Lewis, N.S.; Wrighton, M.S. Proc. Natl. Acad. Sci., U.S.A., 1980, 77, 6280.
8. Dominey, R.N.; Lewis, N.S.; Bruce, J.A.; Bookbinder, D.C.; Wrighton, M.S. J. Am. Chem. Soc., 1982, 104, 0000.
9. Lewis, N.S.; Bocarsly, A.B.; Wrighton, M.S. J. Phys. Chem., 1980, 84, 2033.
10. Lewis, N.S.; Wrighton, M.S. ACS Symposium Series, 1981, 146, 37 "Photoeffects at Semiconductor-Electrolyte Interfaces", A.J. Nozik, ed.
11. Calabrese, G.S.; Wrighton, M.S. J. Am. Chem. Soc., 1981, 103, 6273.
12. Ginley, D.S.; Butler, M.A. J. Electrochem. Soc., 1978, 125, 1968.
13. Bard, A.J.; Bocarsly, A.B.; Fan, F.-R.F.; Walton, E.G.; Wrighton, M.S. J. Am. Chem. Soc., 1980, 102, 3671.
14. Heller, A.; Lewerenz, H.J.; Mitter, B. Ber. Bunsenges. Phys. Chem., 1980, 84, 592.
15. Bard, A.J.; Wrighton, M.S. J. Electrochem. Soc., 1977, 124, 1706.
16. Gerischer, H. J. Electroanal. Chem., 1977, 82, 133.
17. Park, S.M.; Barber, M.E. J. Electroanal. Chem., 1977, 99, 67.
18. Wrighton, M.S. Accs. Chem. Res., 1979, 12, 303.
19. Heller, A. Accs. Chem. Res., 1981, 14, 154.
20. Legg, K.D.; Ellis, A.B.; Bolts, J.M.; Wrighton, M.S. Proc. Natl. Acad. Sci., U.S.A., 1977, 74, 4116.
21. Bookbinder, D.C.; Lewis, N.S.; Bradley, M.G.; Bocarsly, A.B.; Wrighton, M.S. J. Am. Chem. Soc., 1979, 101, 7721.
22. Bocarsly, A.B.; Bookbinder, D.C.; Dominey, R.N.; Lewis, N.S.; Wrighton, M.S. J. Am. Chem. Soc., 1980, 102, 3683.

23. Bookbinder, D.C.; Wrighton, M.S. J. Am. Chem. Soc., 1980, 102, 5123.
24. Kosower, E.M.; Cotter, J.L. J. Am. Chem. Soc., 1964, 85, 5524.
25. Bookbinder, D.C.; Wrighton, M.S., to be submitted to J. Electrochem. Soc.
26. Schmidt, P.F.; Michel, W.J. J. Electrochem. Soc., 1957, 104, 230.
27. Ratler, S.I.; Flitsch, R.; Palmer, M.J. J. Electrochem. Soc., 1975, 122, 413.
28. McGill, T.C. J. Vac. Sci. Technol., 1974, 11, 935.
29. Davis, R.E.; Faulkner, J.R. J. Electrochem. Soc., 1981, 128, 1349.
30. Oyama, N.; Anson, F.C. J. Electrochem. Soc., 1980, 127, 247, and Anal. Chem., 1980, 52, 1192.
31. Shigerhara, K.; Oyama, N.; Anson, F.C. Inorg. Chem., 1981, 20, 518.
32. Oyama, N.; Sato, K.; Matsuda, H. J. Electroanal. Chem., 1980, 115, 149.
33. Bruce, J.A.; Wrighton, M.S. J. Am. Chem. Soc., 1982, 104, 0000.
34. Bookbinder, D.C.; Lewis, N.S.; Wrighton, M.S. J. Am. Chem. Soc., 1981, 103, 7656.
35. Bruce, J.A.; Murahashi, T.; Wrighton, M.S. J. Phys. Chem., 1982, 86, 0000.
36. Bockris, J. O'M.; Reddy, A.K.N. "Modern Electrochemistry", Vol. 2, Plenum: New York, 1970, p. 1238.
37. Davis, L.E.; MacDonald, N.C.; Palmberg, P.W.; Riach, G.E.; Weber, R.G. "Handbook of Auger Electron Spectroscopy", 2nd ed., Physical Electronics Division, Perkin-Elmer Corp., Eden Prairie, MN, 1972.
38. Margolish, E.; Schejter, A. in "Advances in Protein Chemistry", Vol. 21, Chap. 2, Anfinsen, C.B.; Edsall, J.T.; Richards, F.M., eds., Academic Press: New York, 1966.
39. Kuwana, T.; Heineman, W.R. Accs. Chem. Res., 1976, 9, 241.
40. (a) Kono, T.; Nakamura, S. Bull. Agric. Chem. Soc. Jpn., 1958, 22, 399; (b) Haladjian, J.; Bianco, P.; Serve, P.A. J. Electroanal. Chem., 1979, 104, 555; (c) Betso, S.R.; Klapper, M.H.; Anderson, L.B. J. Am. Chem. Soc., 1972, 84, 8197.
41. Land, E.J.; Swallow, A.J. Ber. Bunsenges. Phys. Chem., 1975, 79, 436.
42. Margalit, R.; Schejter, A. Eur. J. Biochem., 1973, 32, 492.
43. Summers, L.A. "The Bipyridinium Herbicides", Academic Press: London, 1980, pp. 122-124.
44. Dominey, R.N.; Lewis, N.S.; Wrighton, M.S. J. Am. Chem. Soc., 1981, 103, 1261.
45. Dominey, R.N.; Wrighton, M.S., to be submitted.

46. Eddowes, M.J.; Hill, H.A.O.; Uosaki, J. J. Am. Chem. Soc., 1979, 101, 7113.
47. Landrum, H.L.; Salmon, R.T.; Hawkrige, F.M. J. Am. Chem. Soc., 1977, 99, 3154.
48. Sze, S.M. "Physics of Semiconductor Devices", Wiley: New York, 1969.
49. Tanaka, S.; Bruce, J.A.; Wrighton, M.S. J. Phys. Chem., 1981, 85, 0000.
50. Aruchamy, A.; Wrighton, M.S. J. Phys. Chem., 1980, 84, 2848.
51. Morrison, S.R. "Electrochemistry at Semiconductor and Oxidized Metal Electrodes", Plenum: 1980.
52. Lu, P.W.T.; Ammon, R.L. J. Electrochem. Soc., 1980, 127, 2610.

### Figure Captions

Figure 1. Auger depth profile analysis of a W electrode derivatized first with III, then with Pd(0), and again with III to yield  $W/[(PQ^{2+} \cdot 2Cl^-)_n/Pd(0)/(PQ^{2+} \cdot 2Cl^-)_n]_{surf.}$  after removal from 0.1 M KCl solution as described in the text. The Auger instrument is a Physical Electronics Model 590A employing a 5 KeV  $e^-$  beam with a beam current of 0.5 to 1  $\mu A$ . Sputtering was done using a 2 KeV  $Ar^+$  beam from a Physical Electronics Model 04-303 ion gun. Auger signals monitored were: Pd (330 eV); C (272 eV); and W (1736 eV).

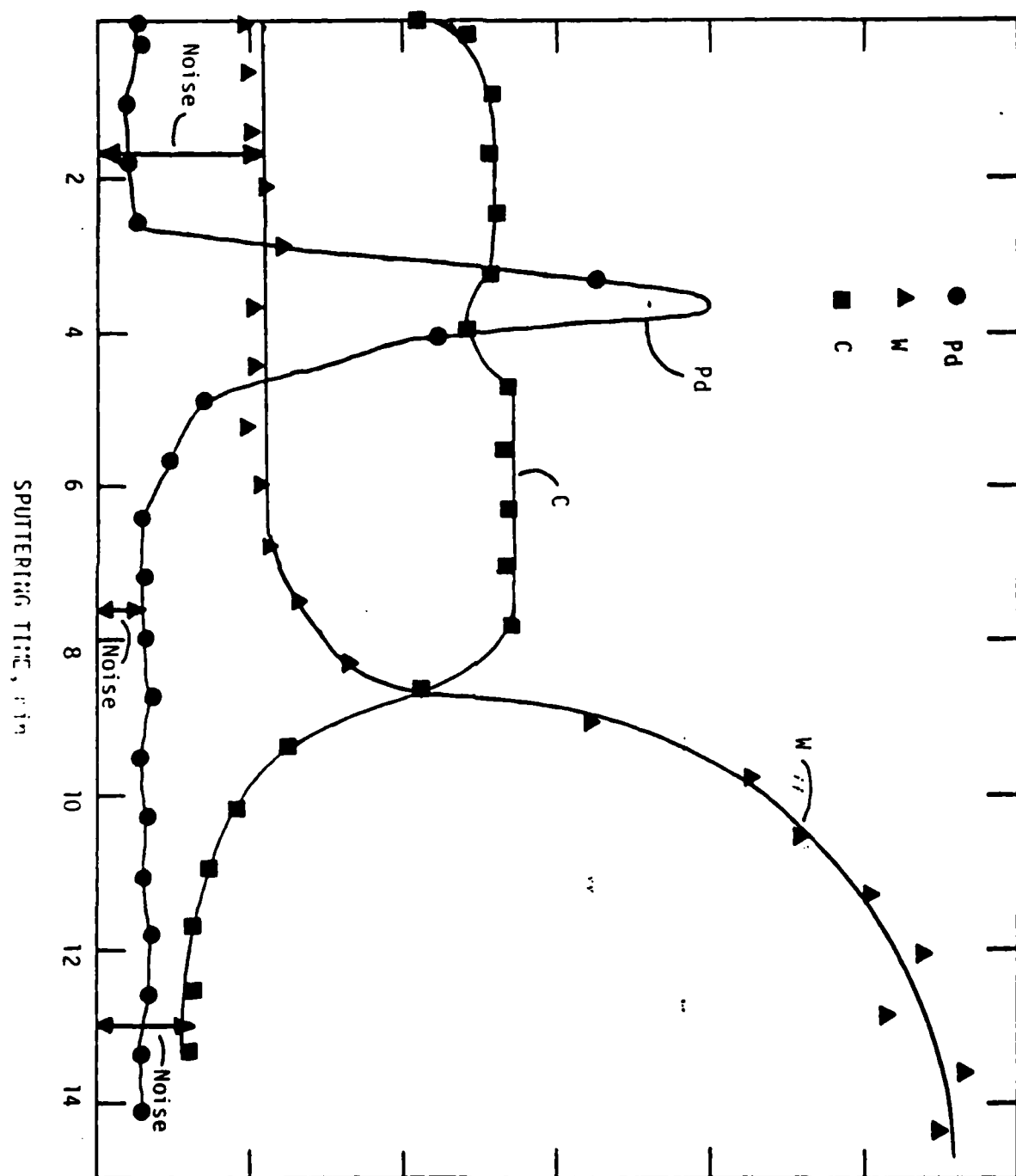
Figure 2. Photocurrent-voltage curves (10 mV/s) for a p-type  $Si/[(PQ^{2+} \cdot 2Cl^-)_n/Pd(0)]_{surf.}$  photocathode where Pd(0) is deposited only on the outer surface of the redox polymer. The illumination source is a He-Ne laser, 632.8 nm, at  $\sim 10 \text{ mW/cm}^2$ , and the exposed electrode area is  $\sim 0.1 \text{ cm}^2$ . The inset shows the power conversion efficiency peaking at  $\sim pH = 4$ . Steady state photocurrent corresponds to  $H_2$  evolution. Data are from ref. 35.

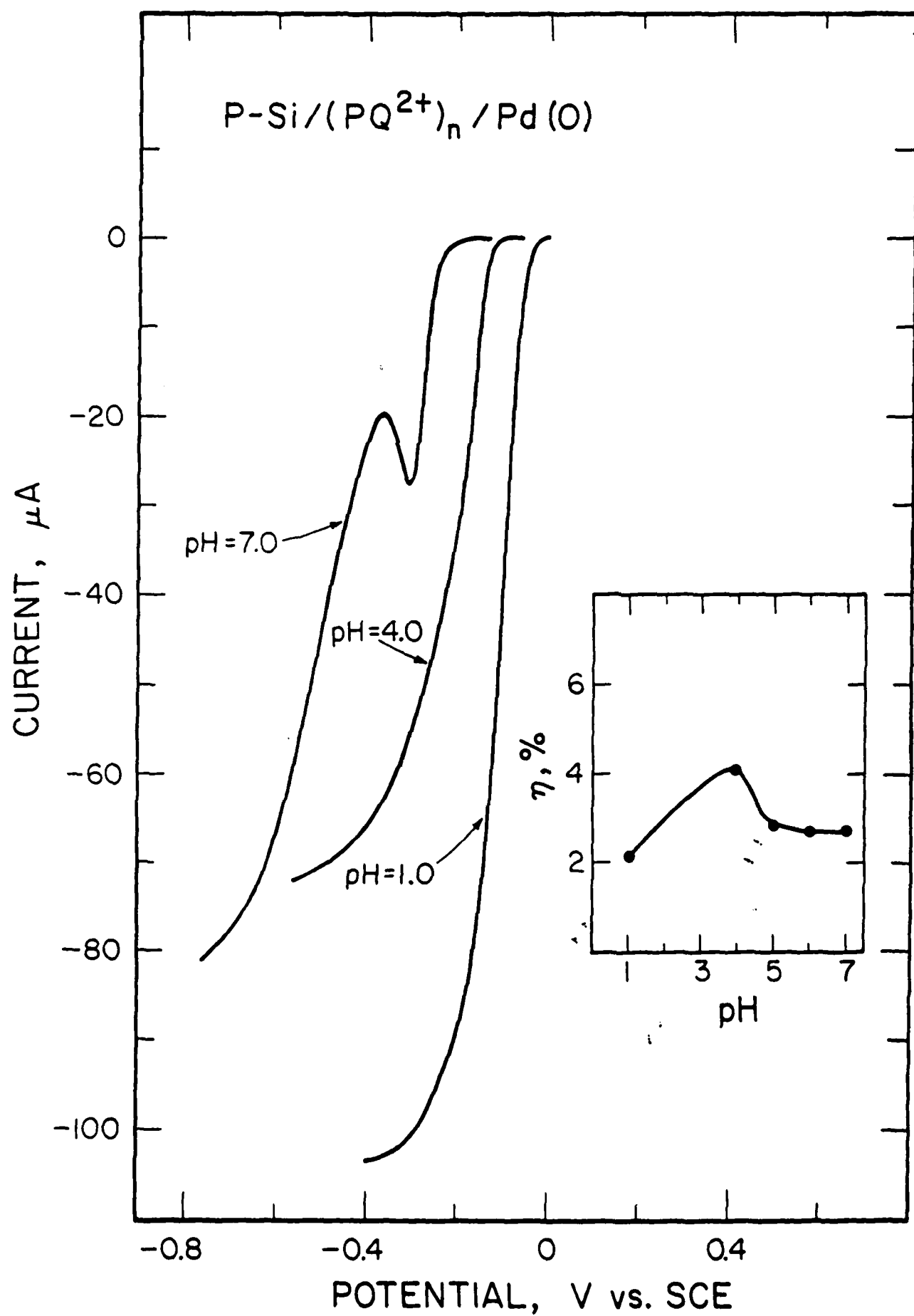
Figure 3. Comparison of pH dependence on  $H_2$  evolution for two different interfaces one where the derivatization with III is followed by Pd(0) deposition, (a), and one where Pd(0) deposition directly onto W is followed by derivatization with III, (b). In (a), only when  $[(PQ^{2+})_n]_{surf.}$  is reduced is current for  $H_2$  observed; in (b)  $H_2$  evolution shows the usual 59 mV/pH shift expected. The insets show Auger depth profile analyses after the electrodes were used. Data from ref. 35.

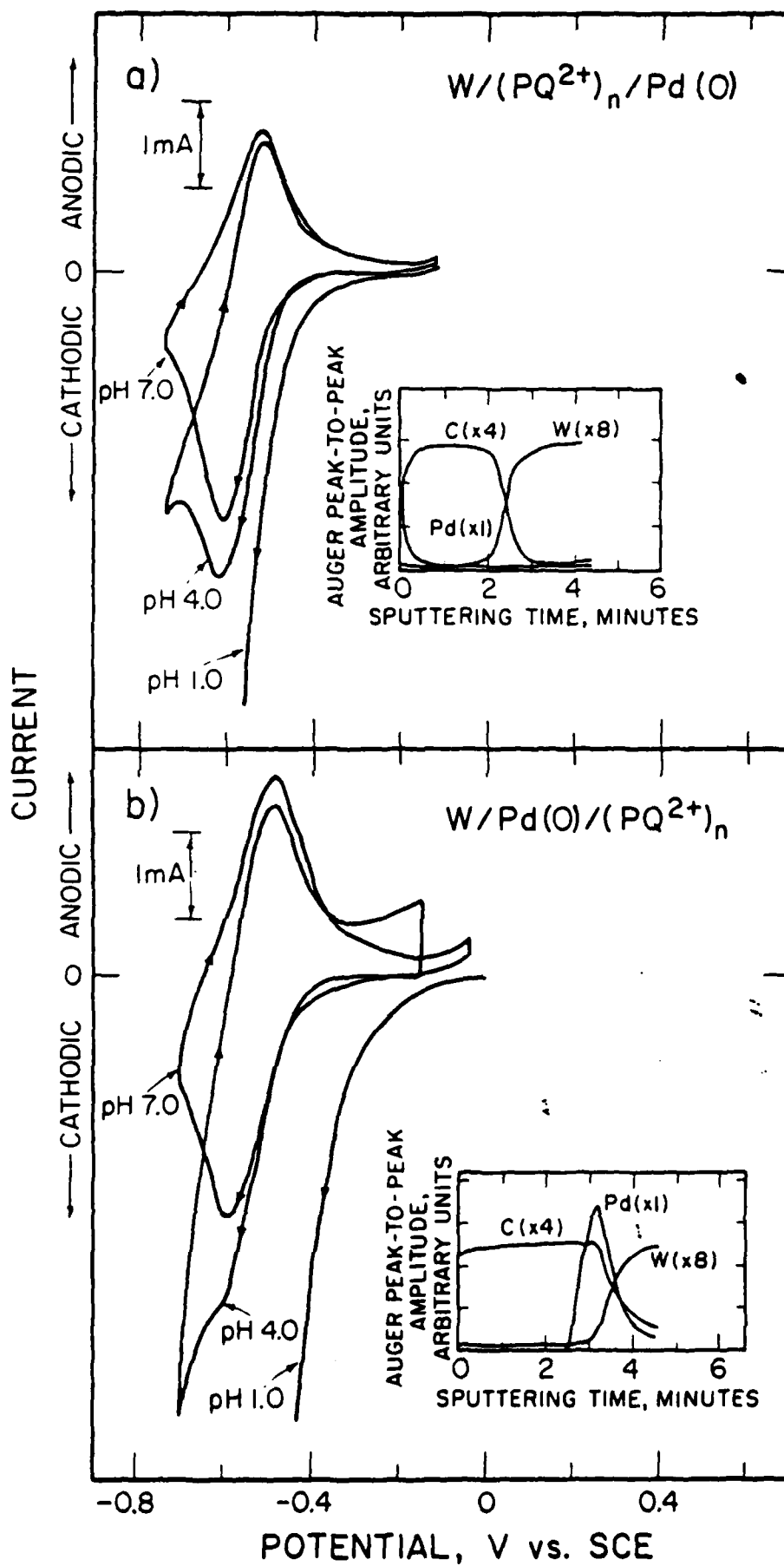
Figure 4. Representation of effect from different pretreatment procedures for n-type CdTe. Data points are the photovoltage,  $E_y$ , at high light intensity vs. the  $E_{redox}$  of the contacting redox couple. The oxidizing etch was 4 g  $K_2Cr_2O_7$ , 10 ml conc.  $HNO_3$  and 20 ml  $H_2O$  into which the n-CdTe was dipped for 30 s at  $25^\circ C$ . The reducing etch pretreatment is to first use the oxidizing etch followed by immersing the n-CdTe into boiling 2.5 M NaOH/0.6 M  $Na_2S_2O_4$  for 3 min. Data are from ref. 49.

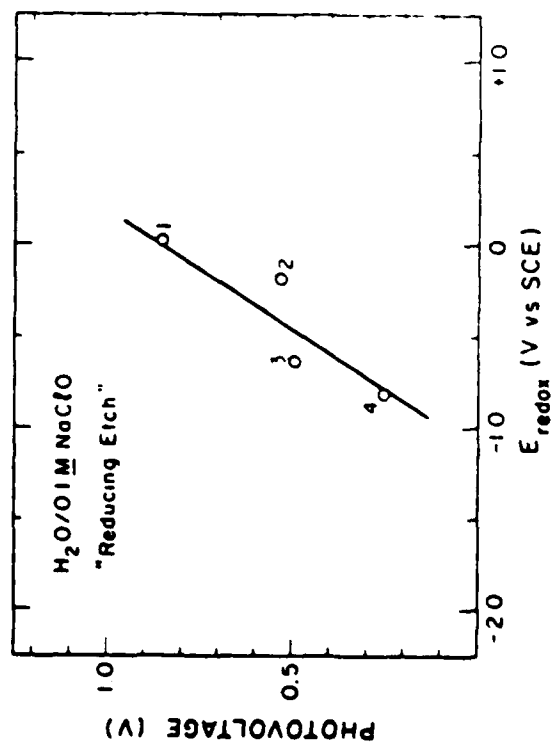
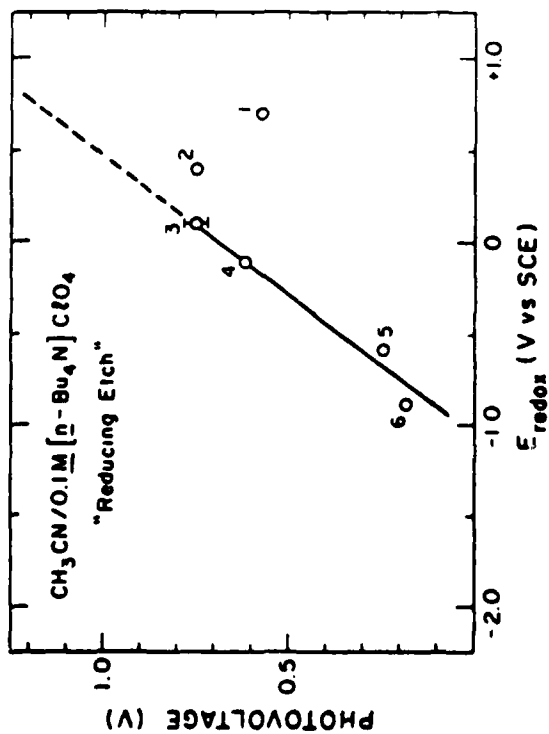
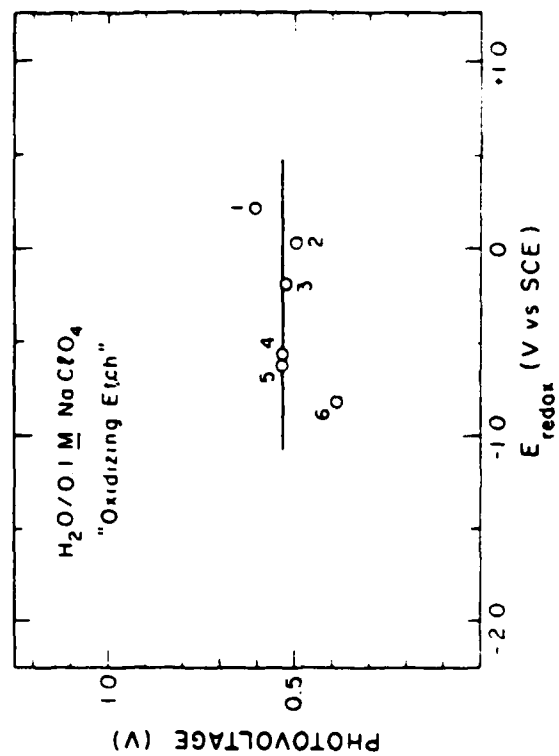
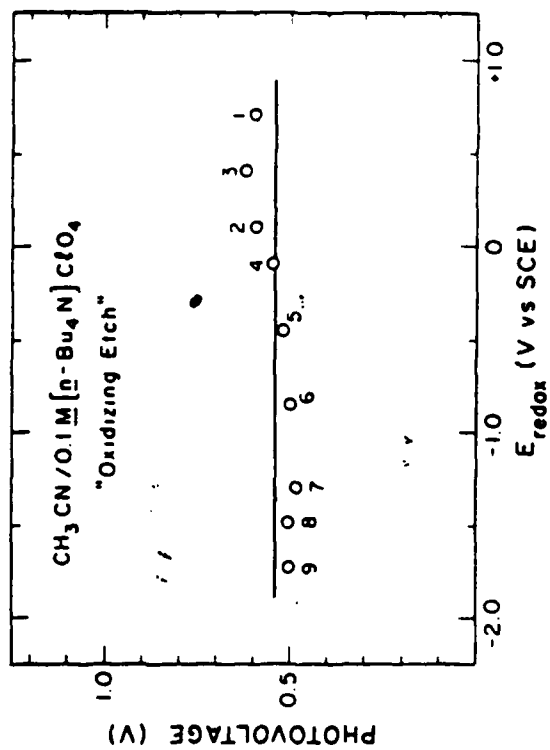
Figure 5. Effect of  $I^-$  adsorption onto n-type  $MoS_2$  on the photoelectrochemical oxidation of 1 M  $SO_2$  in 6 M  $H_2SO_4$ . In the absence of  $I^-$ , (a), no dark or photooxidation of  $SO_2$  occurs. In the presence of 1 mM  $I^-$  the mediated oxidation of  $SO_2$  occurs at a potential corresponding to the onset for  $I^-$  oxidation. The electrode ( $0.07\text{ cm}^2$ ) was irradiated at 632.8 nm ( $\sim 40\text{ mW/cm}^2$ ). Data are from ref. 11.

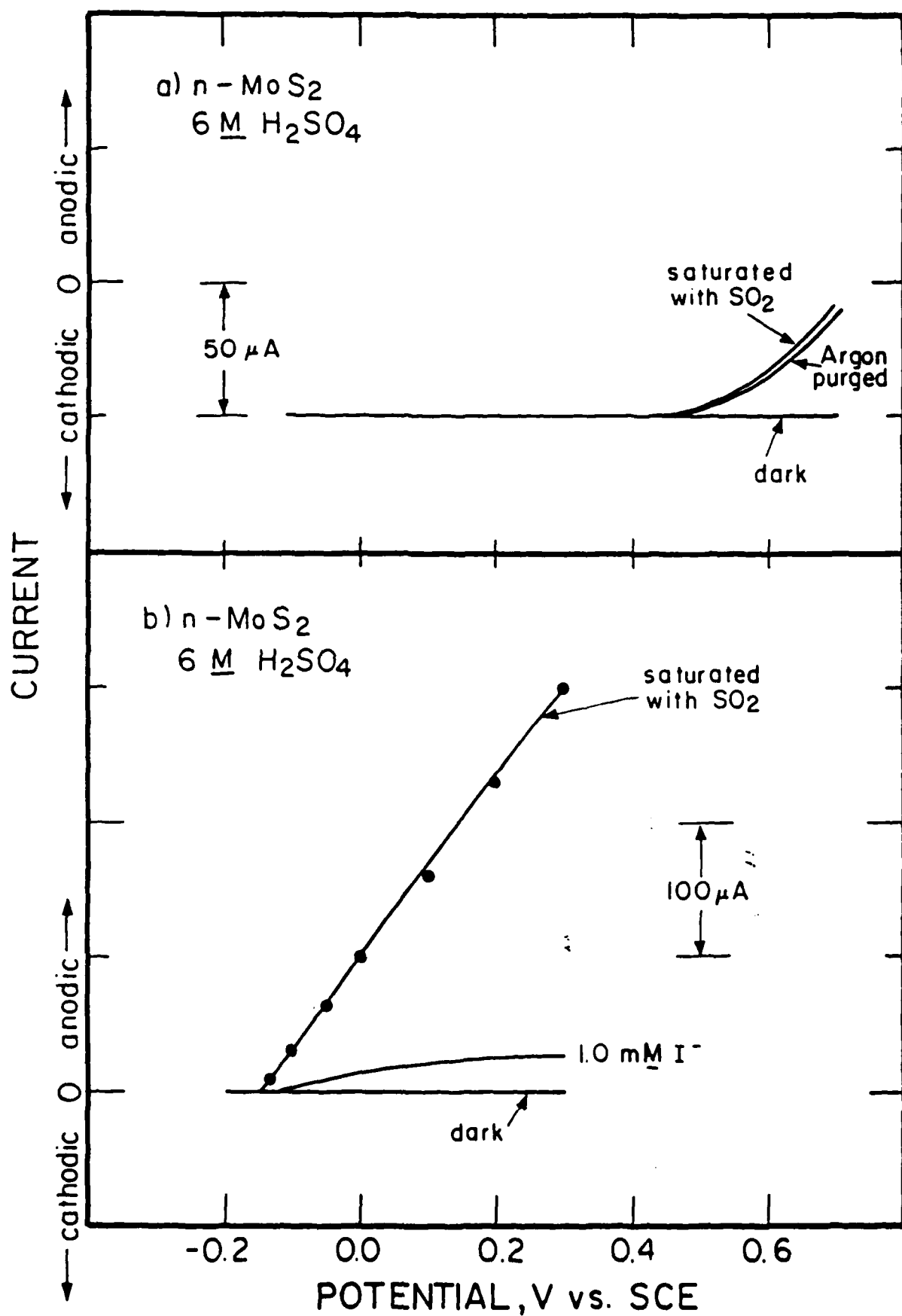
# Relative Auger Signal Intensity











TECHNICAL REPORT DISTRIBUTION LIST, GEN

|   | <u>No.<br/>Copies</u> |   | <u>No.<br/>Copies</u> |
|---|-----------------------|---|-----------------------|
| Office of Naval Research<br>Attn: Code 472<br>900 North Quincy Street<br>Arlington, Virginia 22217  | 2                     | U.S. Army Research Office<br>Attn: CRD-AA-IP<br>P.O. Box 1211<br>Research Triangle Park, N.C. 27709                                 | 1                     |
| ONR Branch Office<br>Attn: Dr. George Sandoz<br>536 S. Clark Street<br>Chicago, Illinois 60605  | 1                     | Naval Ocean Systems Center<br>Attn: Mr. Joe McCartney<br>San Diego, California 92152  | 1                     |
| <del>ONR Area Office<br/>Attn: Dr. A. B. Amster,<br/>Chemistry Division<br/>China Lake, California 93555</del>                                | <del>1</del>          | Naval Weapons Center<br>Attn: Dr. A. B. Amster,<br>Chemistry Division<br>China Lake, California 93555                               | 1                     |
| ONR Western Regional Office<br>1030 East Green Street<br>Pasadena, California 91106   | 1                     | Naval Civil Engineering Laboratory<br>Attn: Dr. R. W. Drisko<br>Port Hueneme, California 93401                                      | 1                     |
| ONR Eastern/Central Regional Office<br>Attn: Dr. L. H. Peebles<br>Building 114, Section D<br>666 Summer Street<br>Boston, Massachusetts 02210 | 1                     | Department of Physics & Chemistry<br>Naval Postgraduate School<br>Monterey, California 93940  | 1                     |
| Director, Naval Research Laboratory<br>Attn: Code 6100<br>Washington, D.C. 20390  | 1                     | Dr. A. L. Slafkosky<br>Scientific Advisor<br>Commandant of the Marine Corps<br>(Code RD-1)<br>Washington, D.C. 20380                | 1                     |
| The Assistant Secretary<br>of the Navy (RE&S)<br>Department of the Navy<br>Room 4E736, Pentagon<br>Washington, D.C. 20350                     | 1                     | Office of Naval Research<br>Attn: Dr. Richard S. Miller<br>800 N. Quincy Street<br>Arlington, Virginia 22217                        | 1                     |
| Commander, Naval Air Systems Command<br>Attn: Code 3100 (H. Rosenwasser)<br>Department of the Navy<br>Washington, D.C. 20360                  | 1                     | Naval Ship Research and Development<br>Center<br>Attn: Dr. G. Bosmajian, Applied<br>Chemistry Division<br>Annapolis, Maryland 21401 | 1                     |
| Defense Technical Information Center<br>Building 5, Cameron Station<br>Alexandria, Virginia 22314   | 12                    | Naval Ocean Systems Center<br>Attn: Dr. S. Yamamoto, Marine<br>Sciences Division<br>San Diego, California 91232                     | 1                     |
| Dr. Fred Saalfeld<br>Chemistry Division, Code 6100<br>Naval Research Laboratory<br>Washington, D.C. 20375                                     | 1                     | Mr. John Boyle<br>Materials Branch<br>Naval Ship Engineering Center<br>Philadelphia, Pennsylvania 19112                             | 1                     |

TECHNICAL REPORT DISTRIBUTION LIST, GENNo.  
Copies

Dr. Rudolph J. Marcus  
Office of Naval Research  
Scientific Liaison Group  
American Embassy  
APO San Francisco 96503

1

Mr. James Kelley  
DTNSRDC Code 2803  
Annapolis, Maryland 21402

1

TECHNICAL REPORT DISTRIBUTION LIST, 359

|   | <u>No.<br/>Copies</u> |  | <u>No.<br/>Copies</u> |
|---|-----------------------|--|-----------------------|
| Dr. Paul Delahay<br>Department of Chemistry<br>New York University<br>New York, New York 10003                  | 1                     | Dr. P. J. Hendra<br>Department of Chemistry<br>University of Southampton<br>Southampton SO9 5NH<br>United Kingdom  | 1                     |
| Dr. E. Yeager<br>Department of Chemistry<br>Case Western Reserve University<br>Cleveland, Ohio 44106            | 1                     | Dr. Sam Perone<br>Department of Chemistry<br>Purdue University<br>West Lafayette, Indiana 47907  | 1                     |
| Dr. D. W. Bennion<br>Department of Chemical Engineering<br>Brigham Young University<br>Provo, Utah 84602        | 1                     | Dr. Royce W. Murray<br>Department of Chemistry<br>University of North Carolina<br>Chapel Hill, North Carolina 27514  | 1                     |
| Dr. R. A. Marcus<br>Department of Chemistry<br>California Institute of Technology<br>Pasadena, California 91125 | 1                     | Naval Ocean Systems Center<br>Attn: Technical Library<br>San Diego, California 92152   | 1                     |
| Dr. J. J. Auborn<br>Bell Laboratories<br>Murray Hill, New Jersey 07974  | 1                     | Dr. C. E. Mueller<br>The Electrochemistry Branch<br>Materials Division, Research<br>& Technology Department<br>Naval Surface Weapons Center<br>White Oak Laboratory<br>Silver Spring, Maryland 20910 | 1                     |
| Dr. Adam Heller<br>Bell Laboratories<br>Murray Hill, New Jersey 07974   | 1                     | Dr. G. Goodman<br>Globe-Union Incorporated<br>5757 North Green Bay Avenue<br>Milwaukee, Wisconsin 53201  | 1                     |
| Dr. D. Katan<br>Lockheed Missiles & Space<br>Co., Inc.<br>P.O. Box 504<br>Sunnyvale, California 94088           | 1                     | Dr. J. Boechler<br>Electrochimica Corporation<br>Attention: Technical Library<br>2485 Charleston Road<br>Mountain View, California 94040   | 1                     |
| Dr. Joseph Singer, Code 302-1<br>NASA-Lewis<br>21000 Brookpark Road<br>Cleveland, Ohio 44135                    | 1                     | Dr. P. P. Schmidt<br>Department of Chemistry<br>Oakland University<br>Rochester, Michigan 48063  | 1                     |
| Dr. S. Brummer<br>FIC Incorporated<br>55 Chapel Street<br>Newton, Massachusetts 02158                           | 1                     | Dr. H. Richtol<br>Chemistry Department<br>Rensselaer Polytechnic Institute<br>Troy, New York 12181   | 1                     |
| Library<br>P. R. Mallory and Company, Inc.<br>Northwest Industrial Park<br>Burlington, Massachusetts 01803      | 1                     |  |                       |

TECHNICAL REPORT DISTRIBUTION LIST, 359

|  | <u>No.<br/>Copies</u> |   | <u>No.<br/>Copies</u> |
|--|-----------------------|---|-----------------------|
| Dr. A. R. Ellis<br>Chemistry Department<br>University of Wisconsin<br>Madison, Wisconsin 53706                                       | 1                     | Dr. R. P. Van Duyne<br>Department of Chemistry<br>Northwestern University<br>Evanston, Illinois 60201                                     | 1                     |
| <del>Dr. M. Wrighton<br/>Chemistry Department<br/>Massachusetts Institute<br/>of Technology<br/>Cambridge, Massachusetts 02139</del> | <del>1</del>          | Dr. B. Stanley Pons<br>Department of Chemistry<br>University of Alberta<br>Edmonton, Alberta<br>CANADA T6G 2G2                            | 1                     |
| Larry F. Plew<br>Naval Weapons Support Center<br>Code 30734, Building 2906<br>Crane, Indiana 47522                                   | 1                     | Dr. Michael J. Weaver<br>Department of Chemistry<br>Michigan State University<br>East Lansing, Michigan 48824                             | 1                     |
| S. Ruhn<br>DOE (STOR)<br>600 F Street<br>Washington, D.C. 20545  | 1                     | Dr. R. David Rauh<br>EIC Corporation<br>55 Chapel Street<br>Newton, Massachusetts 02158   | 1                     |
| Dr. Aaron Wold<br>Brown University<br>Department of Chemistry<br>Providence, Rhode Island 02192                                      | 1                     | Dr. J. David Margerum<br>Research Laboratories Division<br>Hughes Aircraft Company<br>3011 Malibu Canyon Road<br>Malibu, California 90265 | 1                     |
| Dr. R. C. Chudacek<br>Westinghouse Company<br>Edison Battery Division<br>Post Office Box 25<br>Bloomfield, New Jersey 07003          | 1                     | Dr. Martin Fleischmann<br>Department of Chemistry<br>University of Southampton<br>Southampton SO9 5NH England                             | 1                     |
| Dr. A. J. Bard<br>University of Texas<br>Department of Chemistry<br>Austin, Texas 78712  | 1                     | Dr. Janet Ostervoung<br>Department of Chemistry<br>State University of New<br>York at Buffalo<br>Buffalo, New York 14214                  | 1                     |
| Dr. M. M. Nicholson<br>Electronics Research Center<br>Rockwell International<br>3370 Miraloma Avenue<br>Anaheim, California          | 1                     | Dr. R. A. Ostervoung<br>Department of Chemistry<br>State University of New<br>York at Buffalo<br>Buffalo, New York 14214                  | 1                     |
| Dr. Donald W. Ernst<br>Naval Surface Weapons Center<br>Code R-33<br>White Oak Laboratory<br>Silver Spring, Maryland 20910            | 1                     | Mr. James R. Moden<br>Naval Underwater Systems<br>Center<br>Code 3632<br>Newport, Rhode Island 02840                                      | 1                     |

TECHNICAL REPORT DISTRIBUTION LIST, 359

|   | <u>No.<br/>Copies</u> |   | <u>No.<br/>Copies</u> |
|---|-----------------------|---|-----------------------|
| Dr. R. Nowak<br>Naval Research Laboratory<br>Code 6130<br>Washington, D.C. 20375                              | 1                     | Dr. John Kincaid<br>Department of the Navy<br>Strategic Systems Project Office<br>Room 901<br>Washington, DC 20376                          | 1                     |
| Dr. John F. Houlihan<br>Shenango Valley Campus<br>Pennsylvania State University<br>Sharon, Pennsylvania 16146 | 1                     | M. L. Robertson<br>Manager, Electrochemical<br>Power Sonics Division<br>Naval Weapons Support Center<br>Crane, Indiana 47522                | 1                     |
| Dr. M. G. Sceats<br>Department of Chemistry<br>University of Rochester<br>Rochester, New York 14627           | 1                     | Dr. Elton Cairns<br>Energy & Environment Division<br>Lawrence Berkeley Laboratory<br>University of California<br>Berkeley, California 94720 | 1                     |
| Dr. D. F. Shriver<br>Department of Chemistry<br>Northwestern University<br>Evanston, Illinois 60201           | 1                     | Dr. Bernard Spielvogel<br>U.S. Army Research Office<br>P.O. Box 12211<br>Research Triangle Park, NC 27709                                   | 1                     |
| Dr. D. H. Whitmore<br>Department of Materials Science<br>Northwestern University<br>Evanston, Illinois 60201  | 1                     | Dr. Denton Elliott<br>Air Force Office of<br>Scientific Research<br>Bldg. 104<br>Bolling AFB<br>Washington, DC 20332                        | 1                     |
| Dr. Alan Bewick<br>Department of Chemistry<br>The University<br>Southampton, SO9 5NH England                  | 1                     |   |                       |
| Dr. A. Himv<br>NAVSEA-5433<br>NC #4<br>2541 Jefferson Davis Highway<br>Arlington, Virginia 20362              | 1                     |   |                       |

TECHNICAL REPORT DISTRIBUTION LIST, 051A

|  | <u>No.</u><br><u>Copies</u> |  | <u>No.</u><br><u>Copies</u> |
|--|-----------------------------|--|-----------------------------|
| Dr. M. A. El-Sayed<br>Department of Chemistry<br>University of California,<br>Los Angeles<br>Los Angeles, California 90024                 | 1                           | Dr. M. Rauhut<br>Chemical Research Division<br>American Cyanamid Company<br>Bound Brook, New Jersey 08805              | 1                           |
| Dr. E. R. Bernstein<br>Department of Chemistry<br>Colorado State University<br>Fort Collins, Colorado 80521                                | 1                           | Dr. J. I. Zink<br>Department of Chemistry<br>University of California,<br>Los Angeles<br>Los Angeles, California 90024 | 1                           |
| Dr. C. A. Heller<br>Naval Weapons Center<br>Code 6059<br>China Lake, California 93555  | 1                           | Dr. D. Haarer<br>IBM<br>San Jose Research Center<br>5600 Cottle Road<br>San Jose, California 95143                     | 1                           |
| Dr. J. R. MacDonald<br>Chemistry Division<br>Naval Research Laboratory<br>Code 6110<br>Washington, D.C. 20375                              | 1                           | Dr. John Cooper<br>Code 6130<br>Naval Research Laboratory<br>Washington, D.C. 20375                                    | 1                           |
| Dr. G. B. Schuster<br>Chemistry Department<br>University of Illinois<br>Urbana, Illinois 61801   | 1                           | Dr. William M. Jackson<br>Department of Chemistry<br>Howard University<br>Washington, DC 20059                         | 1                           |
| Dr. A. Adamson<br>Department of Chemistry<br>University of Southern<br>California<br>Los Angeles, California 90007                         | 1                           | Dr. George E. Walraffen<br>Department of Chemistry<br>Howard University<br>Washington, DC 20059                        | 1                           |
| <del>Dr. M. S. Wrighton<br/>Department of Chemistry<br/>Massachusetts Institute of<br/>Technology<br/>Cambridge, Massachusetts 02139</del> | <del>1</del>                |  |                             |